

REV.2

Report Date: 07/20/2010

 AR Number:
 01183117
 Type:
 CAP
 Status:
 85
 COMPLETE
 03/06/2010

 Due Date:
 Not Locked
 3/26/2010
 Priority:
 Orig Date:
 5/25/2009 11:00:00 AM

Event: Severity: B Dscv Date:

Event Date:

Thorough Evaluation of Problems Resolution PRAIRIE ISLAND Subject: Aff Fac: Ы Orig ID: N156952 Dean, Wendy D Fac/Grp: Dept: 80 Site Management N152144 Petersen, Kurt W Performce Improvemt Owed To: Fac/Grp: Dept:

No Assign: False Org: Dspln:

#### Description:

<u>Description Notes</u>	Last Updated By	Last Updated Date
Station management does not ensure that identified issues	N156952	06/09/2009
are thoroughly evaluated such that the resolutions effective	N156952	06/09/2009
address all causes, extent of condition, organizational issu	N156952	06/09/2009
and safety culture aspects. Measures (see sharepoint) must	N156952	06/09/2009
be completed before closing this CAP.	N156952	06/09/2009
All referenced assignments in Xref must be completed	N156952	06/09/2009
in order to close this CAP.	N156952	06/09/2009

#### Notes:

Note Type	<u>Notes</u>	Last Updated By	Last Updated Date
General Notes	PI-AR Screen team advised CAQ	N154122	07/07/2009
General Notes		N154122	07/07/2009
General Notes	Reason for no ACE: Per FP-PA-ARP-01, Att. 3,	N154122	07/07/2009
General Notes	The issue has been previously evaluated or	N154122	07/07/2009

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Note Type			
Note Type	<u>Notes</u>	<u>Last Updated By</u>	Last Updated Date
General Notes	identified as a result of an extent of condition	N154122	07/07/2009
General Notes	review under a previous review under a previous	N154122	07/07/2009
General Notes	assessment. The cause is understood and	N154122	07/07/2009
General Notes	corrective actions are being implemented	N154122	07/07/2009
General Notes	NOTE: PCR recommendations and JFG revision requests will be	RGRE02	08/14/2009
General Notes	reviewed by fleet PA Peer group. Alignment bewtween PI site	RGRE02	08/14/2009
General Notes	and fleet will be obtained prior to formal changes to fleet	RGRE02	08/14/2009
General Notes	processes being completed.	RGRE02	08/14/2009
General Notes	WARNING:PRI field must be updated if A/S reopened.CAP1212567	FTLD01	02/18/2010
General Notes		N152144	03/06/2010
General Notes	Action -18 has no closure notes but corrective actions -23,	N152144	03/06/2010
General Notes	-26 and -25 all address the ACE CBT review and therefore	N152144	03/06/2010
General Notes	satisfy the corective action documentation for closure	N152144	03/06/2010
General Notes	sufficiently.	N152144	03/06/2010
Immediate Action Taken	Wrote CAP	N156952	05/26/2009
Recommendations	Evaluate by Problem Identification & Resolution Initiative	N156952	06/09/2009
Why did this occur?	NA -CAP is for tracking performance Recovery Items	N156952	05/26/2009
Reference Docs:			
Facility Doc Type Sub	Type Document Number Sheet Rev Minor Doc Date Titl	<u>'e</u>	

CAP 01183117 Thorough Evaluation of Problems Resolution

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14					
Keywords:					
<u>Keyword</u>	<u>Description</u>			Last Updated By	Last Updated Date
CAP	CORRECTIVE ACTION	ON PROGRAM		N154122	07/07/2009
RECOVERY	STRATEGIC RECOV	ERY PLAN FOR PINGP		N156952	05/26/2009
Attributes:					
<u>Attribute</u>		<u>Value</u>	<u>Read By</u>	Last Updated By	Last Updated Date
COMP ACTION	IS TAKEN?				
CROSS-CUTTI	NG ISSUE				
EQUIP ID REQ	'D?	N	NOTIFY	N156952	05/26/2009
IDENTIFIED BY	Y	SITE	NOTIFY	N156952	05/26/2009
INSPECTION (	QUARTER				
INSPECTION REPORT#					
INSPECTION Y	/EAR				
MODE CHG RE	ESTRAINT				
OPS STATUS		NA	APPROVED	N156952	05/26/2009
POTENTIAL M	RFF	N	APPROVED	N156952	05/26/2009
POTENTL MRG	GN ISSUE?				
SRO REVIEW	REQD?	N	NOTIFY	N156952	05/26/2009
TRENDING CO	MPLETE?	Υ	COMPLETE	N128560	12/31/2009
UNPLANED TS	SAC ENTRY?	N	APPROVED	N156952	05/26/2009
WELL DOCUM	ENTED?				
SCAQ-CAQ-CN	NAQ			MLRE01	08/26/2009
LICENSE REV	IEW REQD?	N	COMPLETE	N152144	03/06/2010
NOS REVIEW	REQD?	N	COMPLETE	N152144	03/06/2010
PARB REVIEW		N	COMPLETE	N152144	03/06/2010
EXCELLENCE	PLAN	HIGH LEVEL		SLCHRI01	03/01/2010

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Attributes: **Attribute Value** Read By Last Updated By Last Updated Date **EXCELLENCE PLAN** SITE PLAN SLCHRI01 03/01/2010 10CFR21 REQD? Ν COMPLETE N152144 03/06/2010 GOOD CATCH? Attributes Notes: **Attribute Notes** Last Updated By Last Updated Date Event Reportable Items: Event Type Event Header **Description** CAP CORRECTIVE ACTION PROGRAM Trend/Cause Codes: Trend 1 Trend 2 Trend 3 Date Process Org <u>Rank</u> <u>Description</u> <u>Assign</u> <u>Vendor</u> ы CCHP 97 CONSERVATIVE ASSUMPTIONS DM H.1(B) UNK Α Trend/Cause Notes: **Notes** Last Updated Date Trend/Cause Generic Implications: Generic Implication **Description** Material References: UTC No. **Manufacturer** Model No. Serial No.

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Equi	Equipment/Component References:										
Facil	ity <u>Unit</u>	Op Sys	Di	<u>vision</u>	<u>Area</u>	S <u>ystem</u>	<u>Class</u>	Equip Type	<u>Equipment</u>	Comp Type	Component.
Cros	s Referen	ces:									
<u>Ref</u>			<u>Ref</u>	Ref Nbr							
<u>Type</u>	<u>Referenc</u>	<u>e Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Statu</u>	<u>s Date</u>	<u>Descripti</u>	<u>on</u>		
AR	01175966			AR	COMPLETE	06/08	/2009	FG-PA-AC	CE-01, rev 11		
AR	01176687			AR	COMPLETE	06/30	/2009	QF-0434,	revision 2		
AR	01179089			AR	COMPLETE	09/10/	/2009	QF-0432 I	Rev. 2 RCE Grading	Form	
AR	01179097			AR	COMPLETE	09/10	/2009	FG-PA-RO	CE-01 Revision 14		
AR	01179794			AR	COMPLETE	09/26	/2009	Adverse T	rend of ACEs failing	to meet required minimum grad	de
AR	01181007			AR	COMPLETE	06/02	/2009	FP-PA-CC	E-01 editorial change	е	
AR	01182229			AR	APPROVED	05/21	/2009	PINGP 16	33, Rev. 0Documer	nt Type: PA/ASM	
AR	01182245			AR	COMPLETE	08/17	/2009	FG-PA-C0	CE-01 (add repeat kw	<i>ı</i> )	
AR	01182419			AR	COMPLETE	09/10/	/2009	QF-0433,	rev 2 RCE Template		
AR	01183111			AR	COMPLETE	03/29	/2010	PIR - Prob	lem Identification and	d Resolution	
AR	01187324			AR	COMPLETE	08/26	/2009	FP-PA-AF	RP-01, Rev 22		
AR	01190919			AR	COMPLETE	08/27	/2009	Safety Cu	lture impact eval not	in some RCEs	
AR	01193530			AR	COMP-NA	09/11	/2009	FG-PA-AC	E-01 ACE Manual		
AR	01193531			AR	COMPLETE	09/11	/2009	QF 0431 F	Rev 4 Apparent Caus	e Report Template	
AR	01193533			AR	COMPLETE	09/11/	/2009	QF 0430 F	Rev 5 ACE Grading	Sheet	
AR	01193537			AR	COMP-NA	11/02	/2009	FG-PA-C	CE-01 Common Caus	se Evaluation Manual	
AR	01193540			AR	COMP-NA	11/03	/2009	QF 0435 F	Rev 4 Common Caus	e Evaluation Report Template	
AR	01193546			AR	APPROVED	08/20	/2009	PINGP 16	33 Rev 0 Common C	Cause Grading Form (GC)	
AR	01193589			AR	COMP-NA	09/29	/2009	FG-PA-PA	R-01 Rev 9, PARB (	Guideline	
AR	01212512			AR	COMP-NA	01/11	/2010	CAP Prob	lem Statements Not I	Meeting Requirements	
AR	01091130		05	AS	COMPLETE	06/01	/2007	Evaluate f	eedback to CAP Initia	ator	

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Cros	s References:					
<u>Ref</u> Type	Reference Nbr	<u>Ref</u> Sub	<u>Ref Nbr</u> <u>Type</u>	Status	Status Date	Description
<u>rype</u> AR	01104684	<u>3ub</u> 01	<u>Type</u> AS	COMPLETE	11/08/2007	Take actions to correct the ineffective actions taken
AR	01104684	02	AS AS	COMPLETE	12/14/2007	
AR	01104664	03	AS AS	COMPLETE	11/05/2007	Review sampling of extended CAPs to ensure documentation  Reveiw CAPR's completed since Jan 1, 2006
AR	01105248	03	AS AS	COMPLETE	12/04/2007	,
				COMPLETE		Assess all comments in aggregate to identify
AR	01117255	02	AS		07/09/2008	PIR theme #1 - site slow to recognize/evaluate significan
AR	01117255	03	AS	COMPLETE	07/09/2008	PIR theme #2 - Site struggles w/elements of CAP
AR	01117840	01	AS	COMPLETE	07/08/2008	Document CE
AR	01125267	01	AS	COMPLETE	02/29/2008	Perform common cause evaluation
AR	01125267	03	AS	COMPLETE	03/25/2008	REVIEW CCE with PARB members
AR	01132288	01	AS	COMPLETE	05/08/2008	Perform CCE on ineffective CA to resolve issues
AR	01132288	03	AS	COMPLETE	05/07/2008	Include issues associated with safety
AR	01133478	04	AS	COMPLETE	07/10/2008	Align with Monti and Fleet
AR	01133478	05	AS	COMPLETE	07/10/2008	Verify RCE qualification proficiency
AR	01133478	06	AS	COMPLETE	11/07/2008	Verify short term goals met
AR	01133478	80	AS	COMPLETE	11/07/2008	verify short term goals met
AR	01133478	10	AS	COMPLETE	02/12/2009	Verify short term goals for Assignment 2
AR	01136206	01	AS	COMPLETE	05/28/2008	Evaluate to Determine what processes not followed
AR	01136206	02	AS	COMPLETE	06/09/2008	Evaluate for Extent of Condition
AR	01139630	01	AS	COMPLETE	06/06/2008	Document current condition for ACE processing
AR	01146005	18	AS	COMPLETE	03/31/2009	Revise SWI 0-3
AR	01154831	04	AS	COMPLETE	05/14/2009	Revise the CAP procedure and Manuals
AR	01154831	05	AS	COMPLETE	04/27/2009	Revise the CAP Action Request Process procedure
AR	01154838	01	AS	COMPLETE	11/14/2008	Perform an ACE IAW FG-PA-ACE-01
AR	01154838	03	AS	COMPLETE	12/19/2008	Limit the RP & Chem Individuals that can evaluate Issues
AR	01154838	04	AS	COMPLETE	01/16/2009	Ensure RP & Chem evaluators complete the ACE Mentor Guide

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Cros	s References:	Cross References:											
<u>Ref</u>		<u>Ref</u>	Ref Nbr										
<u>Type</u>	Reference Nbr	Sub	<u>Type</u>	<u>Status</u>	Status Date	<u>Description</u>							
AR	01154838	05	AS	COMPLETE	01/20/2009	Inadequate Corrective Actions - Screen for Training							
AR	01154838	06	AS	COMPLETE	05/15/2009	Follow Up Evaluation							
AR	01155626	01	AS	COMPLETE	11/17/2008	ACE - Insufficient action taken to address NRC Green NCV							
AR	01157726	06	AS	COMPLETE	01/29/2009	Revise Rad Shipping Procedures							
AR	01157726	14	AS	COMPLETE	01/29/2009	Change 5AWI15.8.0 to update RP risks							
AR	01157726	18	AS	COMPLETE	10/30/2009	Complete EFR for rad shipping program							
AR	01157726	19	AS	COMPLETE	10/30/2009	complete effectiveness review of RMSP							
AR	01157726	28	AS	COMPLETE	10/27/2009	Revise QF-2010							
AR	01157726	30	AS	COMPLETE	10/02/2009	Revise FP-WM-PLA-01 to address rad shipping tasks							
AR	01157726	31	AS	COMPLETE	10/05/2009	Revise FP-RP-JPP-01 to address rad shipping tasks							
AR	01162503	05	AS	COMPLETE	02/13/2009	HU investigation expectations							
AR	01162503	06	AS	COMPLETE	03/12/2009	Modify the Screening team agenda							
	01163127	01	AS	COMPLETE	02/12/2009	Conduct a CCE "Common Cause Evaluation"							
AR	01163127	03	AS	COMPLETE	04/15/2009	AER on sample of NRC identified issues for learning							
AR	01165852	01	AS	COMPLETE	02/20/2009	Perform CE							
AR	01165852	02	AS	COMPLETE	03/04/2009	Evaluate for potential NRC Cross Cutting Issue							
AR	01166830	01	AS	COMPLETE	04/16/2009	Perform Root Cause Evaluation							
AR	01166830	04	AS	COMPLETE	08/20/2009	Develop and implement a Site CAP resolution quality							
AR	01166830	05	AS	COMPLETE	07/29/2009	Establish management expectations and accountability							
AR	01166830	80	AS	COMPLETE	09/03/2009	Once CAP priority matrix has been created							
AR	01166830	09	AS	COMPLETE	09/10/2009	Develop and implement a CAP priority matrix							
AR	01166830	10	AS	COMPLETE	08/21/2009	Develop and implement a Department CAP Health Indicator							
AR	01166830	11	AS	COMPLETE	06/02/2009	Change default sort settings for AT-0085							
AR	01166830	12	AS	COMPLETE	06/02/2009	Change the Corrective Action Effectiveness Indicator							
AR	01166830	15	AS	COMPLETE	07/14/2009	Provide Root Cause Evaluation refresher training							

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Cros	s References:										
		Dof	Dof Mir.								
<u>Ref</u> Type	Reference Nbr	<u>Ref</u> Sub	<u>Ref Nbr</u> <u>Type</u>	Status	Status E	Date	Description				
AR	01171905	01	AS	COMPLETE	09/09/20		Eval to determine whe	ther CAPs	closed to others		
AR	01173322	01	AS	COMPLETE	05/11/20	09	Evaluate if corrective a	actions are	required		
AR	01173335	01	AS	COMPLETE	05/11/20	09	Evaluate if corrective a	actions are	required		
AR	01173341	01	AS	COMPLETE	05/11/20	09	Evaluate if corrective a	actions are	required.		
AR	01177415	01	AS	COMPLETE	06/17/20	09	Restructure attendanc	e at the Co	orrective Action		
AR	01177415	02	AS	COMPLETE	04/29/20	09	Reinforce procedure re	equirement	ts for CAP		
AR	01177415	03	AS	COMPLETE	04/14/20	09	Prioritize workload beg	inning with	n the AR backlog		
AR	01177415	04	AS	COMPLETE	04/27/20	09	Prioritize workload beg	ginning with	n the AR backlog		
AR	01177415	05	AS	COMPLETE	08/20/20	09	Prioritize workload beg	ginning with	n the AR backlog		
AR	01177415	06	AS	COMPLETE	07/08/20	09	Utilize lessons learned	I from Prai	rie Island PRIDE initiativ	/e	
AR	01177415	07	AS	COMPLETE	04/21/20	09	Develop ¿Diagonal Te	am¿ to pro	ovide feedback		
AR	01177567	01	AS	COMPLETE	05/15/20	09	Perform a CCE				
AR	01177567	04	AS	COMPLETE	06/01/20	09	Review RCE 1114156	against 95	5001 Requirements		
AR	01177567	05	AS	COMPLETE	06/01/20	09	Review RCE 1146005	against 95	5001 Requirements		
AR	01177567	06	AS	COMPLETE	06/11/20	09	Review RCE 1157726	(Rad Ship	opment) against 95001	Reqs	
AR	01177567	07	AS	COMPLETE	07/25/20	09	Review RCE 1141755	against 95	5001 Requirements		
AR	01177567	80	AS	COMPLETE	06/09/20	09	Initiate RCE Assignme	nts for 113	32098, 1144249, and 11	32717	
AR	01179794	05	AS	COMPLETE	07/17/20	09	Provide Information sh	aring to PI	ACE qualified personne	el	
AR	01190919	02	AS	COMPLETE	08/27/20	09	CE-Safety Culture imp	act eval no	ot in some RCEs		
Assi	gnment Summary	<i>r</i> :									
<u>Assig</u> Subje		<u>Type</u>	Due Date	<u>Stat</u>		<u>Prime</u> <u>Fac</u>	Primary Response Group	<u>Sec</u> <u>Fac</u>	Second Response Group	<u>Assign To</u>	# of Subs
01	00	CE	08/31/200	9 COM	IPLETE					RGRE02	0

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Assignme	ent Summary	<i>r</i> :								
Assign Subject	Sub Asgn	<u>Type</u>	<u>Due Date</u>	<u>Status</u>	<u>Prime</u> <u>Fac</u>	<u>Primary Response</u> <u>Group</u>	<u>Sec</u> Fac	<u>Second Response</u> <u>Group</u>	<u>Assign To</u>	# of Subs
Review CA	P Process FL-	CAL-ARF	P-01							
02	00	CE	08/31/2009	COMPLETE					RGRE02	0
Review AC	E Manuel FG-F	PA-ACE-	01							
03	00	CE	08/31/2009	COMPLETE					RGRE02	0
Review AC	E template QF	-0431								
04	00	CE	08/31/2009	COMPLETE					RGRE02	0
Review AC	E grading form	QF-043	0							
05	00	CE	08/31/2009	COMPLETE					RGRE02	0
Review RC	E Manual FG-I	PA-RCE-	01 changes							
06	00	CE	08/31/2009	COMPLETE					RGRE02	0
Review RC	E Template QF	-0434 cl	hanges							
07	00	CE	08/31/2009	COMPLETE					RGRE02	0
Review RC	E Grading For	n QF-04	32 changes							
80	00	CE	08/31/2009	COMPLETE					RGRE02	0
Review CC	E Manuel FG-I	PA-CCE-	01							
09	00	CE	08/31/2009	COMPLETE					RGRE02	0
Review CC	E Template QF	-0435								
10	00	CE	08/31/2009	COMPLETE					RGRE02	0
Review CC	E grading prod	ess								
11	00	CE	10/30/2009	COMPLETE					RGRE02	0
ACE Qualif	ication tracking	improve	ements made in 20	09						
12	00	CA	09/15/2009	COMPLETE					N152144	0
Establish m	nethod to stabil	ize RCE	resources for long-	-term						
13	00	CE	08/31/2009	COMPLETE					RGRE02	0

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Assignme	ent Summary	<b>/</b> :								
Assign Subject	<u>Sub Asgn</u>	<u>Type</u>	<u>Due Date</u>	<u>Status</u>	<u>Prime</u> <u>Fac</u>	Primary Response Group	<u>Sec</u> <u>Fac</u>	Second Response Group	Assign To	# of Subs
Review PA										
14	00	CE	08/31/2009	COMPLETE					RGRE02	0
Review RC	E JFG FL-CAP	-RCE-00	)1G							
15	00	CE	08/31/2009	COMPLETE					RGRE02	0
Review PA	RB JFG FL-CA	P-PRB-C	001G							
16	00	CE	08/31/2009	COMPLETE					RGRE02	0
Review Scr	reening Team J	IFG FL-C	CAP-SCT-001G							
17	00	CE	08/31/2009	COMPLETE					RGRE02	0
Review AC	E JFG FL-CAP	-ACE-00	1G							
18	00	CE	08/31/2009	COMPLETE					RGRE02	0
Review AC	E CBT FL-CAF	P-ACE-00	)2F							
19	00	CE	08/14/2009	COMPLETE					N152144	0
Determine	need for ACE r	efresher	training							
20	00	CA	10/30/2009	COMPLETE					NRTS01	0
Communic	ate CAP, RCE,	ACE, CO	CE process changes	3						
21	00	TRRA	12/31/2009	COMPLETE					RGRE02	0
Condust No	eeds Assessme	etn for PA	A Program Changes							
22	00	CA	12/31/2009	COMPLETE					WNDJ04	0
Revise FL-	CAP-SCT-0010	G Screen	ing Committee JFG							
23	00	CA	12/09/2009	COMPLETE					WNDJ04	0
Revise FL-	CAP-ACE-0010	G ACE JI	FG							
24	00	CA	12/02/2009	COMPLETE					WNDJ04	0
Revise FL-	CAP-RCE-001	G, RCE	Job Familiarization C	Guide						
25	00	CA	01/08/2010	COMPLETE					N152144	0

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Assignm	nent Summary	<i>r</i> ·								
Assign Subject	Sub Asgn	<u></u>	<u>Due Date</u>	<u>Status</u>	<u>Prime</u> <u>Fac</u>	Primary Response Group	<u>Sec</u> <u>Fac</u>	Second Response Group	Assign To	# of Subs
Complete	ACE refresher i	nfo shariı	ng							
26	00	TRRA	12/31/2009	COMPLETE					RGRE02	0
Revise A	CE CBT as need	led based	d on PA Process (	Changes						
27	00	PCRA	11/30/2009	COMPLETE					RGRE02	0
Revise F	6-PA-ACE-01, A	ce Manu	al							
28	00	PCRA	11/30/2009	COMPLETE					RGRE02	0
Revise Q	0431, ACE Re	port Tem	plate							
29	00	PCRA	11/30/2009	COMPLETE					RGRE02	0
Revise Q	= 0430, ACE Gra	ading For	m							
30	00	PCRA	10/30/2009	COMPLETE					RGRE02	0
Revise F	9-PA-RCE-01, R	CE Manı	ual							
31	00	PCRA	10/30/2009	COMPLETE					RGRE02	0
Revise Q	0434, RCE Re	port Tem	plate							
32	00		10/30/2009	COMPLETE					RGRE02	0
Revise Q	0432, RCE Gra	ading For	m							
33	00	PCRA	12/31/2009	COMPLETE					RGRE02	0
			Cause Evaluation							
34	00		12/31/2009	COMPLETE					RGRE02	0
	0435, CCE Re		•							
35	00	PCRA	12/31/2009	COMPLETE					RGRE02	0
	NGP 1633, CCE									
36	00		12/31/2009	COMPLETE					RGRE02	0
Revise F	6-PA-PAR-01, P	ARB Gui								
37	00	XPLA	03/12/2010	COMPLETE					SLCHRI01	0

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Assignme	Assignment Summary:											
Assign Subject	<u>Sub Asgn</u>	<u>Type</u>	<u>Due Date</u>	<u>Status</u>	<u>Prime</u> <u>Fac</u>	Primary Response Group	<u>Sec</u> <u>Fac</u>	<u>Second Response</u> <u>Group</u>	<u>Assign To</u>	# of Subs		
Thorough E	horough Evaluation of Problems Resolution Xcellence Plan											

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Assignment Detail for AR/Assignment/Sub-Assignment Number:

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 Assign Type:
 CE
 Due Date:
 Locked
 8/31/2009
 Status/Date:
 85
 COMPLETE
 08/05/2009

 Pri Resp Grp:
 Aff Fac:
 PI
 Priority:
 5
 Unit:
 System:

Sec Resp Grp: Rsch Chgs: 1

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Review CAP Process FL-CAL-ARP-01

_	_			
Des	ari	nti	$\sim$	•

<u>Description Notes</u>	Last Updated By	Last Updated Date
Review CAP Process (FL-CAL-ARP-01).	NRTS01	06/30/2009
Determine if current proposed changes from PI Root Cause	NRTS01	06/30/2009
evaluation (RCE 01166830-SCAQ) are sufficient to address	NRTS01	06/30/2009
performance recovery team CAP-Evaluation improvement	NRTS01	06/30/2009
objectives. Initiate PCR to revise as needed.	NRTS01	06/30/2009

#### In Progress Notes:

Notes <u>Last Updated By</u> <u>Last Updated Date</u>

First extension approved by business support manager to prioritize work. N128062 07/22/2009

Completion Notes:

Note Type Notes Last Updated By Last Updated Date

General Notes CAP process reviewed and recommendations forwarded RGRE02 08/05/2009

General Notes to CAP peer team. See attached writeup in SharePoint RGRE02 08/05/2009

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Report Date: 07/20/2010

Attributes:							
<u>Attribute</u>			<u>Value</u>	Read By	Last Updated By	Last Updated Date	
OUTAGE NUMBER							
ICENSING REVIEW?							
MODE CHG RESTRAIN	Т						
NOS REVIEW?							
NRC COMMITMENT DA	TE						
NRC COMMITMENT?							
PARB?							
TRB?							
Attributes Notes:							
Attribute		Note	<u>s</u>			<u>Last Updated By</u> <u>La</u>	st Updated Date
Cross References:							
Ref	<u>Ref</u>	Ref Nbr					
Type Reference Nbr	<u>Sub</u>	<u>Type</u>	<u>Status</u>	Status Date	<u>Description</u>		
AS 01187324		AR	COMPLETE	08/26/2009	FP-PA-ARP-01, Rev 22		
AS 01166830	0100	AS	COMPLETE	04/16/2009	Perform Root Cause Evalua	ition	
AS 01166830	0600	AS	COMPLETE	09/10/2009	Revise FP-PA-ARP-01 to ac	ddress the following issues	
Material References:							
UTC No.	<u>Manuf</u>	facturer	<u>Model</u>	No.	<u>Serial No.</u>		
Equipment/Compone	ent Refe	rences:					
Facility <u>Unit</u> Op Sy	<u>/s Di</u>	i <u>vision</u>	<u>Area</u>	System Class	<u>Equip Type</u> <u>Equipment</u>	Comp Type	Component.

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Report Date: 07/20/2010

Reference Docs:					
Facility Doc Type	Sub Type	Document Number Sheet	<u>Rev</u> <u>Minor</u> <u>De</u>	Doc Date <u>Title</u>	

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Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

 Assign Type:
 CE
 Due Date:
 Locked
 8/31/2009
 Status/Date:
 85
 COMPLETE
 08/14/2009

 Pri Resp Grp:
 Aff Fac:
 PI
 Priority:
 5
 Unit:
 System:

Sec Resp Grp: Rsch Chgs: 1

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Review ACE Manuel FG-PA-ACE-01

_	 	 	n:

-	Description Notes	Last Updated By	Last Updated Date
-	Review FG-PA-ACE-01, ACE Manual, (including any currently	NRTS01	06/30/2009
	open PCRs), to determine if changes should be made to	NRTS01	06/30/2009
į	implement recovery team CAP-Evaluation improvement	NRTS01	06/30/2009
į	initiatives. Initiate PCR as needed.	NRTS01	06/30/2009

#### In Progress Notes:

Notes Last Updated By Last Updated Date

First extension approved by business support manager to prioritize work. N128062 07/22/2009

#### Completion Notes:

Note Type Notes Last Updated By Last Updated Date

General Notes Evaluation complete. See write up attached in SharePoint RGRE02 08/14/2009
General Notes Initiated PCR 1193530. See x-reff. RGRE02 08/14/2009

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REV.2

Report Date: 07/20/2010

Attributes:													
<u>Attribute</u>				<u>Value</u>		Read E	<u>Ву La</u>	st Updated By	Last Upd	ated Date			
LICENSING REV	IEW?												
MODE CHG RES	STRAINT												
NOS REVIEW?													
NRC COMMITME	ENT DATE	Ξ											
NRC COMMITME	ENT?												
OUTAGE NUMBI	ER												
PARB?													
TRB?													
Attributes Note	es:												
<u>Attribute</u>			<u>Note</u>	<u>s</u>						<u>Last Upda</u>	ted By La	st Updated	<u>Date</u>
Cross Referen	ces:												
<u>Ref</u>		<u>Ref</u>	Ref Nbr										
Type Reference		<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status</u>		<u>Descriptio</u>	_					
AS 01193530			AR	COMP-NA	09/11/2	2009	FG-PA-AC	E-01 ACE Manu	al				
Material Refere	ences:												
UTC No.		Manufa	<u>acturer</u>	<u>Mode</u>	el No.		:	Serial No.					
Equipment/Co	mponen	t Refer	ences:										
<u>Facility Unit</u>	Op Sys	<u>Di</u> v	<u>vision</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	Equip Type	<u>Equipment</u>		<u>C</u>	отр Туре	<u>Compone</u>	ent.

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Report Date: 07/20/2010

Reference Docs:				
Facility Doc Type Sub Type	Document Number Sheet	Rev Minor Doc Date	<u>Title</u>	

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REV.2

Report Date: 07/20/2010

 Assign Type:
 CE
 Due Date:
 Locked
 8/31/2009
 Status/Date:
 85
 COMPLETE
 08/14/2009

 Pri Resp Grp:
 Aff Fac:
 Pl
 Priority:
 5
 Unit:
 System:

Sec Resp Grp: Rsch Chgs: 1

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Review ACE template QF-0431

Description:

 Description Notes
 Last Updated By
 Last Updated Date

 Review ACE template (QF-0431). Determine if current
 NRTS01
 06/30/2009

 template sufficiently aligns with performance recovery
 NRTS01
 06/30/2009

 CAP-evaluation improvement objectives. Initiate PCR to
 NRTS01
 06/30/2009

 revise as needed.
 NRTS01
 06/30/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

First extension approved by business support manager to prioritize work. N128062 07/22/2009

Completion Notes:

Note Type Notes Last Updated By Last Updated Date

General NotesReview complete. See write-up attached in SharePoint.RGRE0208/14/2009General NotesAlso see recommended form changes. InitiatedRGRE0208/14/2009General NotesPCR 1193531. See x-reff.RGRE0208/14/2009

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Report Date: 07/20/2010

Attributes:			
<u>Attribute</u>	<u>Value</u>	<u>Read B</u>	By Last Updated By Last Updated Date
LICENSING REVIEW?			
MODE CHG RESTRAINT			
NOS REVIEW?			
NRC COMMITMENT DATE			
NRC COMMITMENT?			
OUTAGE NUMBER			
PARB?			
TRB?			
Attributes Notes:			
<u>Attribute</u>	<u>Notes</u>		Last Updated By Last Updated Date
Cross References:			
Ref Ref	Ref Nbr		
<u>Type Reference Nbr Sub</u>	<u>Type</u> <u>Status</u>	Status Date	<u>Description</u>
AS 01193531	AR COMPLETE	09/11/2009	QF 0431 Rev 4 Apparent Cause Report Template
Material References:			
<u>UTC No.</u> <u>Manu</u>	<u>facturer</u> <u>Model</u>	<u>No.</u>	<u>Serial No.</u>
<b>Equipment/Component Refe</b>	rences:		
<u>Facility Unit Op Sys Di</u>	<u>ivision</u> <u>Area</u>	System Class	Equip Type Equipment Comp Type Component.

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Report Date: 07/20/2010

Reference Docs:				
Facility Doc Type Sub Type	Document Number Sheet	Rev Minor Doc Date	<u>Title</u>	

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 CE
 Due Date:
 Locked
 8/31/2009
 Status/Date:
 85
 COMPLETE
 08/14/2009

 Pri Resp Grp:
 Aff Fac:
 PI
 Priority:
 5
 Unit:
 System:

Sec Resp Grp: Rsch Chgs: 1

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Review ACE grading form QF-0430

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

Review ACE grading form QF-0430. Determine if current formN15695206/10/2009aligns with performance recovery CAP-evaluation improvementNRTS0106/30/2009objectives. Initiate PCR to revise as needed.NRTS0106/30/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

First extension approved by business support manager to prioritize work.

N128062

07/22/2009

Completion Notes:

Note Type Notes Last Updated By Last Updated Date

General NotesEvaluation complete. See attached write-up in SharePoint.RGRE0208/14/2009General NotesAlso see draft form revision recommendations attached inRGRE0208/14/2009General NotesSharePoint. Initiated PCR 1193533RGRE0208/14/2009

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Report Date: 07/20/2010

Attributes:		
<u>Attribute</u>	<u>Value</u> <u>Read E</u>	By <u>Last Updated By</u> <u>Last Updated Date</u>
LICENSING REVIEW?		
MODE CHG RESTRAINT		
NOS REVIEW?		
NRC COMMITMENT DATE		
NRC COMMITMENT?		
OUTAGE NUMBER		
PARB?		
TRB?		
Attributes Notes:		
<u>Attribute</u> <u>Note</u>	<u>98</u>	<u>Last Updated By</u> <u>Last Updated Date</u>
Cross References:		
Ref Ref Nbr		
<u>Type Reference Nbr</u> <u>Sub</u> <u>Type</u>	<u>Status</u> <u>Status Date</u>	<u>Description</u>
AS 01193533 AR	COMPLETE 09/11/2009	QF 0430 Rev 5 ACE Grading Sheet
Material References:		
<u>UTC No.</u> <u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
Equipment/Component References:		
Facility Unit Op Sys Division	<u>Area System Class</u>	Equip Type Equipment Comp Type Component.

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Report Date: 07/20/2010

Reference Docs:				
Facility Doc Type Sub Type L	Document Number Sheet I	<u>Rev Minor</u>	Doc Date	<u>Title</u>

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REV.2

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 CE
 Due Date:
 Locked
 8/31/2009
 Status/Date:
 85
 COMPLETE
 08/10/2009

 Pri Resp Grp:
 Aff Fac:
 PI
 Priority:
 5
 Unit:
 System:

Sec Resp Grp: Rsch Chgs: 1

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Review RCE Manual FG-PA-RCE-01 changes

#### Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

Review proposed RCE Manual FG-PA-RCE-01 changes. Verify N156952 06/10/2009 changes align with performance recovery CAP-evaluation NRTS01 06/30/2009 improvement objectives. Initiate PCR to revise as needed. NRTS01 06/30/2009

#### In Progress Notes:

Notes Last Updated By Last Updated Date

First extension approved by business support manager to prioritize work.

N128062

07/22/2009

#### Completion Notes:

Note Type	<u>Notes</u>	Last Updated By	Last Updated Date
General Notes	Reviewed RCE manual for improvements and change	RGRE02	08/10/2009
General Notes	recommendations. Compiled recommendations and presented them	RGRE02	08/10/2009
General Notes	to RCE manual owner via the site PA Supervisor.	RGRE02	08/10/2009
General Notes	Recommendations were reviewed and incorporated as	RGRE02	08/10/2009
General Notes	appropriate to existing PCR 1179097. Reviewed proposed	RGRE02	08/10/2009

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REV.2

Report Date: 07/20/2010

**Completion Notes:** Note Type **Notes** Last Updated By Last Updated Date General Notes revisions attached to PCR 1179097. The proposed changes RGRE02 08/10/2009 General Notes RGRE02 08/10/2009 Attributes: <u>Attribute</u> <u>Value</u> Regd By Last Updated By Last Updated Date LICENSING REVIEW? MODE CHG RESTRAINT

NOS REVIEW?
NRC COMMITMENT DATE
NRC COMMITMENT?
OUTAGE NUMBER
DARR?

PARB? TRB?

Attributes Notes:

Attribute <u>Notes</u> <u>Last Updated By</u> <u>Last Updated Date</u>

Cross References:

Ref Ref Nbr

 Type
 Reference Nbr
 Sub
 Type
 Status
 Status Date
 Description

AS 01179097 AR COMPLETE 09/10/2009 FG-PA-RCE-01 Revision 14

Material References:

UTC No. Manufacturer Model No. Serial No.

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Report Date: 07/20/2010

Equipment/Component References:												
<u>Facility</u>	<u>Unit</u>	Op S	<u>/s Div</u>	<u>rision</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip T</u>	<u>/pe</u>	<u>Equipment</u>	Comp Type	Component.
Referen	ce Dod	s:										
Facility	Doc Ty	<u>pe S</u>	ub Type	<u>Docume</u>	ent Number	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	Doc D	ate <u>Title</u>		

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Report Date: 07/20/2010

 Assign Type:
 CE
 Due Date:
 Locked
 8/31/2009
 Status/Date:
 85
 COMPLETE
 08/10/2009

 Pri Resp Grp:
 Aff Fac:
 PI
 Priority:
 5
 Unit:
 System:

Sec Resp Grp: Rsch Chgs: 1

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Review RCE Template QF-0434 changes

#### Description:

<u>Description Notes</u>
<u>Last Updated By</u> <u>Last Updated Date</u>

Review proposed RCE Template (QF-0434) changes. Verify NRTS01 06/30/2009 changes align with performance recovery CAP-evaluation NRTS01 06/30/2009 improvement objectives. Initiate PCR to revise as needed. NRTS01 06/30/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

First extension approved by business support manager to prioritize work.

N128062

07/22/2009

#### Completion Notes:

Note Type	<u>Notes</u>	Last Updated By	Last Updated Date
General Notes	Reviewed RCE template for improvements and changes.	RGRE02	08/10/2009
General Notes	Also reviewed open PCR 01182419. Changes recommended in PCR	RGRE02	08/10/2009
General Notes	01182419 are sufficient and align with recommendations based	RGRE02	08/10/2009
General Notes	on the review for this CE. This item can be closed and	RGRE02	08/10/2009
General Notes	crossreferenced to existing PCR. A new PCR is not necessary	RGRE02	08/10/2009

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Report Date: 07/20/2010

**Completion Notes:** Note Type **Notes** Last Updated By Last Updated Date General Notes as recommendations from this CA are already incocporated RGRE02 08/10/2009 General Notes into PCR 1182419. RGRE02 08/10/2009 Attributes: <u>Attribute</u> <u>Value</u> Regd By Last Updated By Last Updated Date LICENSING REVIEW? MODE CHG RESTRAINT NOS REVIEW? NRC COMMITMENT DATE NRC COMMITMENT? OUTAGE NUMBER PARB? TRB?

Attributes Notes:

Attribute <u>Notes</u> <u>Last Updated By</u> <u>Last Updated Date</u>

Cross References:

Ref Ref Nbr

 Type
 Reference Nbr
 Sub
 Type
 Status
 Status Date
 Description

AS 01182419 AR COMPLETE 09/10/2009 QF-0433, rev 2 RCE Template

Material References:

UTC No. Manufacturer Model No. Serial No.

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Report Date: 07/20/2010

Equipm	Equipment/Component References:											
<u>Facility</u>	<u>Unit</u>	Op S	<u>/s Div</u>	<u>rision</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip T</u>	<u>/pe</u>	<u>Equipment</u>	Comp Type	Component.
Referen	ce Dod	s:										
Facility	Doc Ty	<u>pe S</u>	ub Type	<u>Docume</u>	ent Number	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	Doc D	ate <u>Title</u>		

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Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

Assign Type: CE Due Date: Locked 8/31/2009 Status/Date: 85 COMPLETE 08/10/2009

Pri Resp Grp: Aff Fac: PI Priority: 5 Unit: System:

Sec Resp Grp: Rsch Chgs: 1

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Review RCE Grading Form QF-0432 changes

#### Description:

<u>Description Notes</u>
<u>Last Updated By</u> <u>Last Updated Date</u>

Review proposed RCE Grading Form (QF-0432) changes. Verify NRTS01 06/30/2009 changes align with performance recovery CAP-evaluation NRTS01 06/30/2009 improvement objectives. Initiate PCR to revise as needed. NRTS01 06/30/2009

#### In Progress Notes:

Notes Last Updated By Last Updated Date

First extension approved by business support manager to prioritize work.

N128062

07/22/2009

#### Completion Notes:

Note Type	<u>Notes</u>	Last Updated By	Last Updated Date
General Notes	Reviewed RCE grading form as well as proposed changes to	RGRE02	08/10/2009
General Notes	RCE manual and RCE report template. Made recommendations for	RGRE02	08/10/2009
General Notes	grading changes and presented those to the fleet PA group	RGRE02	08/10/2009
General Notes	and program owner. Open PCR includes recommendations based	RGRE02	08/10/2009
General Notes	on this review. This item can be closed and cross referenced	RGRE02	08/10/2009

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Report Date: 07/20/2010

Completion Notes:							
Note Type	<u>N</u> o	<u>otes</u>				<u>Last Updated By</u>	Last Updated Date
General Notes	to	PCR 117908	39			RGRE02	08/10/2009
Attributes:							
<u>Attribute</u>			<u>Value</u>	<u>Read B</u> y	<u>Last Updated By</u>	Last Updated Date	
NRC COMMITMENT DAT NRC COMMITMENT? OUTAGE NUMBER PARB? TRB? LICENSING REVIEW? NOS REVIEW? MODE CHG RESTRAINT	E						
Attributes Notes:							
<u>Attribute</u>		<u>Note:</u>	<u>S</u>			Last Updated By	Last Updated Date
Cross References:							
Ref Type Reference Nbr AS 01179089	<u>Ref</u> <u>Sub</u>	<u>Ref Nbr</u> <u>Type</u> AR	<u>Status</u> COMPLETE	<u>Status Date</u> 09/10/2009	<u>Description</u> QF-0432 Rev. 2 RCE Gradin	ng Form	
Material References:							
UTC No.	Manut	acturer	<u>Model</u>	No.	<u>Serial No.</u>		

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Report Date: 07/20/2010

Equipm	Equipment/Component References:												
Facility	<u>Unit</u>	Op Sys	s <u>Div</u>	rision_	<u>Area</u>	<u>System</u>	<u>Class</u>	Equip 1	Гуре	<u>Equip</u>	<u>ment</u>	Comp Type	Component.
Referen	Reference Docs:												
Facility	Doc Ty	<u>be Su</u>	<u>b Type</u>	<u>Documer</u>	<u>nt Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	Doc I	<u>Date</u>	<u>Title</u>		

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 Assign Type:
 CE
 Due Date:
 Locked
 8/31/2009
 Status/Date:
 85
 COMPLETE
 08/14/2009

 Pri Resp Grp:
 Aff Fac:
 PI
 Priority:
 5
 Unit:
 System:

Sec Resp Grp: Rsch Chgs: 1

Set Kesp Gip.

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Review CCE Manuel FG-PA-CCE-01

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

Review CCE Manual (Review CCE Manual). Verify manualNRTS0106/30/2009aligns with performance recovery CAP-evaluation improvementNRTS0106/30/2009objectives. Initiate PCR to revise as needed.NRTS0106/30/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

First extension approved by business support manager to prioritize work.

N128062

07/22/2009

Completion Notes:

Note Type Notes Last Updated By Last Updated Date

General NotesReview complete. See write-up attached in SharePointRGRE0208/14/2009General NotesAlso see revision recommendations attached in SharePoint.RGRE0208/14/2009General NotesInitiated PCR 1193537.RGRE0208/14/2009

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Report Date: 07/20/2010

Unit Op Sys

**Division** 

<u>Area</u>

Attributes: **Attribute Value** Read By Last Updated By Last Updated Date LICENSING REVIEW? MODE CHG RESTRAINT NOS REVIEW? NRC COMMITMENT DATE NRC COMMITMENT? **OUTAGE NUMBER** PARB? TRB? Attributes Notes: **Attribute Notes** Last Updated By Last Updated Date Cross References: <u>Ref</u> <u>Ref</u> Ref Nbr Type Reference Nbr Sub <u>Type</u> <u>Status</u> Status Date **Description** AS 01182245 AR COMPLETE 08/17/2009 FG-PA-CCE-01 (add repeat kw) AS 01193537 AR COMP-NA 11/02/2009 FG-PA-CCE-01 Common Cause Evaluation Manual Material References: UTC No. **Manufacturer** Model No. Serial No. Equipment/Component References:

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Comp Type Component.

System Class Equip Type Equipment



Report Date: 07/20/2010

Reference Docs:				
Facility Doc Type Sub Ty	pe <u>Document Number</u> <u>Sheet</u>	Rev Minor Doc Date	<u>Title</u>	

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 CE
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 85
 COMPLETE
 08/14/2009

 Pri Resp Grp:
 Aff Fac:
 PI
 Priority:
 5
 Unit:
 System:

Sec Resp Grp: Rsch Chgs: 1

Set Nesp Gip.

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Review CCE Template QF-0435

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

Review CCE Template (QF-0435). Verify template aligns with NRTS01 06/30/2009 performance recovery CAP-evaluation improvement objectives. NRTS01 06/30/2009 Initiate PCR to revise as needed. NRTS01 06/30/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

First extension approved by business support manager to prioritize work.

N128062

07/22/2009

Completion Notes:

Note Type Notes Last Updated By Last Updated Date

General Notes Review complete. See write up attached in SharePoint. RGRE02 08/14/2009
General Notes Also see revision draft atttached. RGRE02 08/14/2009

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Report Date: 07/20/2010

Attributes:					
<u>Attribute</u>		<u>Value</u> <u>Re</u>	<u>qd By</u> <u>Las</u>	t Updated By	Last Updated Date
LICENSING REVIEW?					
MODE CHG RESTRAINT					
NOS REVIEW?					
NRC COMMITMENT DATE					
PARB?					
TRB?					
NRC COMMITMENT?					
OUTAGE NUMBER					
Attributes Notes:					
<u>Attribute</u>	<u>Notes</u>				Last Updated By Last Updated Date
Cross References:					
Ref Ref	Ref Nbr				
<u>Type Reference Nbr Sub</u>	<u>Type</u> <u>Sta</u>	<u>Status Dat</u>	<u>Description</u>		
AS 01193540	AR CC	DMP-NA 11/03/2009	QF 0435 Re	v 4 Common Ca	ause Evaluation Report Template
Material References:					
UTC No. Mai	nufacturer_	Model No.	<u>S</u>	erial No.	
Equipment/Component Re	ferences:				
Facility Unit Op Sys	<u>Division</u> <u>Are</u>	<u>ea System Cla</u>	ass <u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u> <u>Component.</u>

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Reference Docs:				
Facility Doc Type Sub Type	Document Number Sheet	Rev Minor Doc Date	<u>Title</u>	

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 Assign Type:
 CE
 Due Date:
 Locked
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 85
 COMPLETE
 08/14/2009

 Pri Resp Grp:
 Aff Fac:
 PI
 Priority:
 5
 Unit:
 System:

Sec Resp Grp: Rsch Chgs: 1

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Review CCE grading process

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

Review CCE grading process/guidelines. Verify form alignsNRTS0106/30/2009with performance recovery CAP-evaluation improvementNRTS0106/30/2009objectives. Initiate PCR to revise as needed.NRTS0106/30/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

First extension approved by business support manager to prioritize work.

N128062

07/22/2009

Completion Notes:

Note Type Notes Last Updated By Last Updated Date

General NotesReview complete. See write-up attached in SharePoint.RGRE0208/14/2009General NotesAlso see proposed revision attached.RGRE0208/14/2009General NotesInitiated PCR 01193546RGRE0208/14/2009

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REV.2

Report Date: 07/20/2010

Attributes: **Attribute Value** Read By Last Updated By Last Updated Date LICENSING REVIEW? MODE CHG RESTRAINT NOS REVIEW? NRC COMMITMENT DATE NRC COMMITMENT? **OUTAGE NUMBER** PARB? TRB? Attributes Notes: **Attribute Notes** Last Updated By Last Updated Date Cross References: <u>Ref</u> <u>Ref</u> Ref Nbr Type Reference Nbr Sub <u>Type</u> <u>Status</u> Status Date **Description** AS 01182229 AR APPROVED 05/21/2009 PINGP 1633, Rev. 0.. Document Type: PA/ASM AS 01193546 AR APPROVED 08/20/2009 PINGP 1633 Rev 0 Common Cause Grading Form (GC) Material References: UTC No. **Manufacturer** Model No. Serial No. Equipment/Component References: Unit Op Sys **Division** System Class Equip Type Equipment Comp Type Component. <u>Area</u>

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Report Date: 07/20/2010

Reference Docs:				
Facility Doc Type Sub Type	Document Number Sheet	Rev Minor Doc Date	<u>Title</u>	

Pedigree Information Page 53 of 150



Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

 Assign Type:
 CE
 Due Date:
 Locked
 10/30/2009
 Status/Date:
 85
 COMPLETE
 08/05/2009

 Pri Resp Grp:
 Aff Fac:
 PI
 Priority:
 5
 Unit:
 System:

Sec Resp Grp: Rsch Chgs: 0

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

**Subject:** ACE Qualification tracking improvements made in 2009

#### Description:

<u>Description Notes</u>	Last Updated By	Last Updated Date
Improvements to Performance Assessment group JFG completion	N156952	06/10/2009
tracking were made in response to CAP 01159541. Action is to	N156952	06/10/2009
conduct a CE to document ACE Qualification tracking	N156952	06/10/2009
improvements made in 2009.	N156952	06/10/2009

#### In Progress Notes:

Notes Last Updated By Last Updated Date

#### Completion Notes:

Note Type	<u>Notes</u>	Last Updated By	Last Updated Date
General Notes	In late 2008, the station identified issues with identifying	RGRE02	08/05/2009
General Notes	qualified ACE performers resulting in CAP 01159541. There	RGRE02	08/05/2009
General Notes	were also a few cases where personnel completed ACEs prior	RGRE02	08/05/2009
General Notes	to completing the required job familiarization guide. All of	RGRE02	08/05/2009
General Notes	these events were documented in the CAP process and	RGRE02	08/05/2009

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Report Date: 07/20/2010

Completion Notes:			
Note Type	<u>Notes</u>	<u>Last Updated By</u>	Last Updated Date
General Notes	completely addressed.	RGRE02	08/05/2009
General Notes	The condition evaluation for CAP 1159541 determined that	RGRE02	08/05/2009
General Notes	personnel completing the training requirements for ACE	RGRE02	08/05/2009
General Notes	performer were not getting that curricula assigned to them	RGRE02	08/05/2009
General Notes	in LMS. There was nothing triggering the LMS curricula	RGRE02	08/05/2009
General Notes	entry. This resulted in the qualification status not being	RGRE02	08/05/2009
General Notes	included in the LMS qual look-up page.	RGRE02	08/05/2009
General Notes	For an interim corrective action, all personnel who had	RGRE02	08/05/2009
General Notes	completed the ACE performer training requirements were	RGRE02	08/05/2009
General Notes	assigned the curricula in LMS. This in turn automatically	RGRE02	08/05/2009
General Notes	updated the LMS qual look-up page.	RGRE02	08/05/2009
General Notes	For long term corrective action, all of the Performance	RGRE02	08/05/2009
General Notes	Assessment JFGs (including ACE Performer) were all revised	RGRE02	08/05/2009
General Notes	to include a step to assign the curricula in LMS after the	RGRE02	08/05/2009
General Notes	JFG was completed. Also, QF 1060-05 (JFG template form) was	RGRE02	08/05/2009
General Notes	revised, adding a step to update LMS with all curricula	RGRE02	08/05/2009
General Notes	information.	RGRE02	08/05/2009
General Notes	Going forward, anyone completing the Performance Assessment	RGRE02	08/05/2009
General Notes	JFGs will have the curricula assigned in LMS as soon as they	RGRE02	08/05/2009
General Notes	complete the JFG. This will prevent qualification status	RGRE02	08/05/2009
General Notes	confusion.	RGRE02	08/05/2009
Attributes:			
<u>Attribute</u>	<u>Value</u> <u>Reqd By</u> <u>Last Updated By</u>	Last Updated Date	
LICENSING REVIEW?			

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REV.2

Report Date: 07/20/2010

Attributes: **Attribute Value** Read By Last Updated By Last Updated Date MODE CHG RESTRAINT NOS REVIEW? NRC COMMITMENT DATE NRC COMMITMENT? **OUTAGE NUMBER** PARB? TRB? Attributes Notes: <u>Attribute</u> **Notes** Last Updated By Last Updated Date Cross References: Ref Ref Ref Nbr Type Reference Nbr <u>Type</u> <u>Status</u> Status Date **Description** AS 01154396 AR COMPLETE 03/10/2009 QF106005 JFG Form Rev 1 AS 01159541 AR COMPLETE 04/26/2009 LMS Qualification Lookup Tool not up to date Material References: UTC No. **Manufacturer** Model No. Serial No. Equipment/Component References: Unit Op Sys System Class Equip Type Comp Type Component. **Division** <u>Area</u> **Equipment** 

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Report Date: 07/20/2010

Reference Docs:				
Facility Doc Type Sub Type	Document Number Sheet	Rev Minor Doc Date	<u>Title</u>	

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Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

Assign Type: CA Due Date: Locked 9/15/2009 Status/Date: 85 COMPLETE 09/15/2009

Pri Resp Grp: Aff Fac: PI Priority: 5 Unit: System:

Sec Resp Grp: Rsch Chgs: 0

Assign To: N152144 Department: 38 Performce Improvemt

Last Name: Petersen, Kurt W

Subject: Establish method to stabilize RCE resources for long-term

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

Establish method, process, and structure to stabilize RCE N156952 06/10/2009 resources for long-term performance. N156952 06/10/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

Comp	letion	N	lo	tes:	
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General Notes The resources will be managed by oversight from the N152144 09/15/2009  General Notes Performance Assessment department. The recommendation for N152144 09/15/2009
General Notes Performance Assessment department. The recommendation for N152144 09/15/2009
General Notes number of persons will be provided thru the PARB for N152144 09/15/2009
General Notes concurance and given to the respective departments. The N152144 09/15/2009
General Notes quality of the RCE evaluators will also be thru the PARB and N152144 09/15/2009
General Notes departmental indicators. No fruther action will be needed N152144 09/15/2009
General Notes at this time N152144 09/15/2009

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Report Date: 07/20/2010

Attributes:

<u>Attribute</u>			<u>Value</u>	<u>Req</u>	d B <u>y</u>	<u>Last Updated By</u>	Last Updated Date	
LICENSING REVIEW?								
MODE CHG RESTRAINT								
MR(A)1 ACTION?								
MRFF?								
NOS REVIEW?								
NRC COMMITMENT DAT	E							
NRC COMMITMENT?								
OUTAGE NUMBER								
PARB?								
TRB?								
Attributes Notes:								
<u>Attribute</u>		<u>Note:</u>	3				<u>Last Updated By</u>	Last Updated Date
Cross References:								
<u>Ref</u>	Ref	Ref Nbr						
<u>Type</u> <u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	Status Date	<u>Descrip</u>	<u>tion</u>		
Material References:								
UTC No.	<u>Manufa</u>	<u>cturer</u>	<u>Mod</u>	<u>'el No.</u>		Serial No.		
Equipment/Componen	t Refere	ences:						
Facility Unit Op Sys	<u>Div</u>	<u>ision</u>	<u>Area</u>	System Clas	s <u>Equip Typ</u>	<u>e Equipment</u>	<u>Comp Ty</u>	pe Component.

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Report Date: 07/20/2010

Reference Docs:				
Facility Doc Type Sub Type	Document Number Sheet	Rev Minor Doc Date	<u>Title</u>	

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Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

 Assign Type:
 CE
 Due Date:
 Locked
 8/31/2009
 Status/Date:
 85
 COMPLETE
 08/14/2009

 Pri Resp Grp:
 Aff Fac:
 PI
 Priority:
 5
 Unit:
 System:

Sec Resp Grp: Rsch Chgs: 1

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Review PARB Guideline FG-PA-PAR-01

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

Review PARB Guideline FG-PA-PAR-01 for potential N156952 06/11/2009 improvements and lessons learned. Initiate PCR as needed. N156952 06/11/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

First extension approved by business support manager to prioritize work. N128062 07/22/2009

Completion Notes:

Note Type Notes Last Updated By Last Updated Date

General NotesReview complete. See write-up attached in SharePoint. AlsoRGRE0208/14/2009General Notessee recommended revision draft attached.RGRE0208/14/2009General NotesInitiated PCR 01193589RGRE0208/14/2009

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REV.2

Report Date: 07/20/2010

Attribut	es:											
<u>Attribute</u>				<u>Value</u>		Read E	<u>Ву La</u>	st Updated By	Last Upda	nted Date		
LICENSI	NG REVIEW?											
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	NUMBER											
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TRB?												
Attribut	es Notes:											
<u>Attribute</u>			<u>Note</u>	<u>98</u>						Last Updated By La	st Updated Date	
Cross R	eferences:											
<u>Ref</u>		<u>Ref</u>	Ref Nbr									
	<u>eference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status I</u>		<u>Description</u>	_				
AS 01	193589		AR	COMP-NA	09/29/20	109	FG-PA-PAF	R-01 Rev 9, PAF	RB Guideline			
Material	References:	:										
UTC No.	•	<u>Manı</u>	<u>ıfacturer</u>	<u>Mode</u>	el No.		<u>.</u>	Serial No.				
Equipm	ent/Compon	ent Refe	erences:									
<u>Facility</u>	<u>Unit</u> Op S	<u>ys </u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	Equip Type	<u>Equipment</u>		<u>Comp Type</u>	Component.	

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Report Date: 07/20/2010

Reference Docs:				
Facility Doc Type Sub Type L	Document Number Sheet I	<u>Rev Minor</u>	<u>Doc Date</u>	<u>Title</u>

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Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

 Assign Type:
 CE
 Due Date:
 Locked
 8/31/2009
 Status/Date:
 85
 COMPLETE
 08/14/2009

 Pri Resp Grp:
 Aff Fac:
 PI
 Priority:
 5
 Unit:
 System:

Sec Resp Grp: Rsch Chgs: 1

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Review RCE JFG FL-CAP-RCE-001G

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

Review RCE JFG FL-CAP-RCE-001G for potential N156952 06/11/2009 improvements and lessons learned. Revise JFG as needed. N156952 06/11/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

First extension approved by business support manager to prioritize work. N128062 07/22/2009

Completion Notes:

Note Type Notes Last Updated By Last Updated Date

General Notes Review completed. See write-up attached in SharePoint RGRE02 08/14/2009 General Notes Recommended revision also attached. RGRE02 08/14/2009 Initiated OTHA 1183117-24 to track revision. Rev RGRE02 08/14/2009 General Notes RGRE02 08/14/2009 General Notes must be approved by fleet PA peer group.

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Report Date: 07/20/2010

Attributes:												
<u>Attribute</u>			<u>Value</u>	2	Regd B	<u>La</u>	st Updated By	Last Up	dated Date			
LICENSING REVIEW?												
MODE CHG RESTRAINT	-											
NOS REVIEW?												
NRC COMMITMENT DAT	ΓΕ											
NRC COMMITMENT?												
OUTAGE NUMBER												
PARB?												
TRB?												
Attributes Notes:												
<u>Attribute</u>		Note	<u>S</u>						Last Upda	ated By La	ast Updated Da	<u>ate</u>
Cross References:												
Ref	Ref	Ref Nbr										
Type Reference Nbr	Sub	<u>Type</u>	<u>Status</u>	<u>Status E</u>	<u>Date</u>	<u>Description</u>	<u>1</u>					
Material References:												
UTC No.	Manut	facturer	Mod	del No.		<u> </u>	Serial No.					
Equipment/Compone	nt Refe	rences:										
Facility Unit Op Sy		ivision	<u>Area</u>	<u>System</u>	<u>Class</u>	Equip Type	<u>Equipment</u>		C	omp Type	Component.	
												<u>-</u>
Reference Docs:												
Facility Doc Type Su	ıb Type	<u>Documer</u>	<u>nt Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u> <u>Doc</u>	<u>Date</u> <u>Tit</u>	<u>le</u>				
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Pedigree Information Page 69 of 150



REV.2

Report Date: 07/20/2010

Assignment Detail for AR/Assignment/Sub-Assignment Number:

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Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

 Assign Type:
 CE
 Due Date:
 Locked
 8/31/2009
 Status/Date:
 85
 COMPLETE
 08/11/2009

 Pri Resp Grp:
 Aff Fac:
 PI
 Priority:
 5
 Unit:
 System:

Sec Resp Grp: Rsch Chgs: 1

Con CingC

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Review PARB JFG FL-CAP-PRB-001G

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

Review PARB JFG FL-CAP-PRB-001G for potential improvements N156952 06/11/2009

and lessons learned. Revise JFG as needed. N156952 06/11/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

First extension approved by business support manager to prioritize work. N128062 07/22/2009

Completion Notes:

Note Type	Notes	Last Updated By	Last Updated Date
General Notes	Reviewed PARB JFG (FL-CAP-PRB-001G).	RGRE02	08/11/2009
General Notes	JFG was recently revised and is current.	RGRE02	08/11/2009
General Notes	No additional chanes recommended at this time.	RGRE02	08/11/2009
General Notes	JFG template was revised after the PARB JFG was revised,	RGRE02	08/11/2009
General Notes	however, there is no reason to update the JFG at this time.	RGRE02	08/11/2009
General Notes	New JFG template will be used for next required revision.	RGRE02	08/11/2009

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REV.2

Report Date: 07/20/2010

Completion Notes:					
Note Type	<u>Notes</u>			Last Updated By	Last Updated Date
General Notes	Current PARB JFG (rev 3) include	es all requirements		RGRE02	08/11/2009
General Notes	nevcessary to ensure PARB mem	nber are familiar with the	ir	RGRE02	08/11/2009
General Notes	responsibilities and the JFG align	s with the Performance		RGRE02	08/11/2009
General Notes	Recovery Evaluation objectives.			RGRE02	08/11/2009
Attributes:					
<u>Attribute</u>	<u>Value</u>	<u>Read By</u>	Last Updated By Last U	pdated Date	
TRB?					
LICENSING REVIEW?					
MODE CHG RESTRAINT					
NOS REVIEW?					
NRC COMMITMENT DATE					
NRC COMMITMENT?					
PARB?					
OUTAGE NUMBER					
Attributes Notes:					
<u>Attribute</u>	<u>Notes</u>			Last Updated By	Last Updated Date
Cross References:					
Ref R	<u>ef Ref Nbr</u>				
		Status Date Desc	<u>cription</u>		

Pedigree Information Page 73 of 150



Report Date: 07/20/2010

Material	Referen	ces:											
UTC No.		<u>Ma</u>	nufacturer	<u>Mo</u>	del No.			<u>Serial</u>	<u> No.</u>				
Equipme	ent/Com <sub>l</sub>	ponent R	eferences:										
<u>Facility</u>	<u>Unit</u> C	)p Sys	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	Equip Typ	<u>e Equ</u>	<u>ıipment</u>		Comp Type	Component.	
Reference	ce Docs:												
Facility <u>L</u>	Doc Type	Sub Ty	<u>pe Docume</u>	<u>ent Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u> <u>D</u>	oc Date	<u>Tit</u>	<u>tle</u>			

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Report Date: 07/20/2010

01183117 16

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REV.2

Report Date: 07/20/2010

 Assign Type:
 CE
 Due Date:
 Locked
 8/31/2009
 Status/Date:
 85
 COMPLETE
 08/14/2009

 Pri Resp Grp:
 Aff Fac:
 Pl
 Priority:
 5
 Unit:
 System:

Sec Resp Grp: Rsch Chgs: 1

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Review Screening Team JFG FL-CAP-SCT-001G

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

Review Screening Team JFG FL-CAP-SCT-001G for potential N156952 06/11/2009 improvements and lessons learned. Revise JFG as needed. N156952 06/11/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

First extension approved by business support manager to prioritize work. N128062 07/22/2009

Completion Notes:

Note Type **Notes** Last Updated By Last Updated Date General Notes Review complete. See attached write-up in RGRE02 08/14/2009 General Notes SharePoint. Recommend revising JFG per the RGRE02 08/14/2009 attached draft. OTHA 1183117-22 initiated RGRE02 08/14/2009 **General Notes** RGRE02 08/14/2009 **General Notes** to track revision completion. Revision request must be RGRE02 **General Notes** 08/14/2009 approved through Fleet PA Peer group.

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Report Date: 07/20/2010

Attributes:												
<u>Attribute</u>			<u>Value</u>		Read E	<u>Ву La</u>	st Updated By	Last Upo	dated Date			
LICENSING REVIEW?												
MODE CHG RESTRAINT	-											
NOS REVIEW?												
NRC COMMITMENT DAT	ΓΕ											
NRC COMMITMENT?												
OUTAGE NUMBER												
PARB?												
TRB?												
Attributes Notes:												
<u>Attribute</u>		Note	<u>S</u>						Last Updat	ed By La	st Updated Da	ate
Cross References:												
Ref	<u>Ref</u>	Ref Nbr										
Type Reference Nbr	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status</u>	<u>Date</u>	<u>Descriptio</u>	<u>n</u>					
Material References:												
UTC No.	Manufa	acturer	<u>Mod</u>	del No.		2	Serial No.					
Equipment/Compone	nt Refer	ences:										
Facility Unit Op Sys		<u>vision</u>	<u>Area</u>	System	Class	Equip Type	<u>Equipment</u>		Co	тр Туре	Component.	
<u>, <u>0</u> <u>0</u></u>	<u> </u>	<del></del>	<u>, o u</u>	<u> </u>	<u> </u>	<u>=qa.p . , po</u>	<u>=qa.po.n.</u>			<u>р урс</u>	<u> </u>	
Reference Docs:												
Facility Doc Type Su	ıb Type	<u>Documer</u>	<u>nt Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u> <u>Doc</u>	<u>Date</u> <u>Tit</u>	<u>le</u>				
Dadiawaa Information											Б	77 of 150

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REV.2

Report Date: 07/20/2010

Assignment Detail for AR/Assignment/Sub-Assignment Number:

Pedigree Information Page 78 of 150



Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

 Assign Type:
 CE
 Due Date:
 Locked
 8/31/2009
 Status/Date:
 85
 COMPLETE
 08/14/2009

 Pri Resp Grp:
 Aff Fac:
 PI
 Priority:
 5
 Unit:
 System:

Sec Resp Grp: Rsch Chgs: 1

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Review ACE JFG FL-CAP-ACE-001G

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

Review ACE JFG FL-CAP-ACE-001G for potential improvements N156952 06/11/2009 and lessons learned. Revise JFG as needed. N156952 06/11/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

First extension approved by business support manager to prioritize work. N128062 07/22/2009

Completion Notes:

Note Type Notes Last Updated By Last Updated Date

General Notes Review completed. See write-up attached in SHarePoint. RGRE02 08/14/2009 General Notes Recommended changes attached in draft revision. RGRE02 08/14/2009 RGRE02 08/14/2009 General Notes Initiated OTHA 1183117-23 to tracvk revision. RGRE02 08/14/2009 **General Notes** Revision must be approved by fleet PA peer group.

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Report Date: 07/20/2010

Attributes:												
<u>Attribute</u>			<u>Value</u>		Read E	<u>Ву La</u>	st Updated By	Last Upo	dated Date	!		
LICENSING REVIEW?												
MODE CHG RESTRAINT	-											
NOS REVIEW?												
NRC COMMITMENT DAT	ΓΕ											
NRC COMMITMENT?												
OUTAGE NUMBER												
PARB?												
TRB?												
Attributes Notes:												
<u>Attribute</u>		Note	<u>S</u>						Last Upo	dated By La	st Updated	<u>Date</u>
Cross References:												
Ref	<u>Ref</u>	Ref Nbr										
Type Reference Nbr	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status</u>	<u>Date</u>	<u>Description</u>	<u>1</u>					
Material References:												
UTC No.	Manuf	acturer	<u>Mod</u>	del No.		2	Serial No.					
Equipment/Compone	nt Refer	ences:										
Facility Unit Op Sy.	s <u>Di</u>	<u>vision</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	Equip Type	<u>Equipment</u>		<u>.</u>	Comp Type	Compone	nt.
Reference Docs:												
Facility Doc Type Su	ıb Type	<u>Documer</u>	<u>nt Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u> <u>Doc</u>	<u>Date</u> <u>Tit</u>	<u>le</u>				
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REV.2

Report Date: 07/20/2010

Assignment Detail for AR/Assignment/Sub-Assignment Number:

Pedigree Information Page 82 of 150



Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

 Assign Type:
 CE
 Due Date:
 Locked
 8/31/2009
 Status/Date:
 85
 COMPLETE
 08/24/2009

 Pri Resp Grp:
 Aff Fac:
 Pl
 Priority:
 5
 Unit:
 System:

Sec Resp Grp: Rsch Chgs: 1

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Review ACE CBT FL-CAP-ACE-002F

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

Review ACE CBT FL-CAP-ACE-002F for potential N156952 06/11/2009 improvements and lessons learned. Revise CBT as needed. N156952 06/11/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

First extension approved by business support manager to prioritize work.

N128062

07/22/2009

Completion Notes:

Note Type Notes Last Updated By Last Updated Date

Attributes:

Attribute <u>Value</u> <u>Reqd By</u> <u>Last Updated By</u> <u>Last Updated Date</u>

LICENSING REVIEW?
MODE CHG RESTRAINT

NOS REVIEW?

Pedigree Information Page 84 of 150



Report Date: 07/20/2010

Attributes:

<u>Attribute</u>			<u>Value</u>	<u> </u>	<u>Read E</u>	<u> Lá</u>	<u>ast Updated B</u>	<u>v   Last Ur</u>	odated Date			
NRC COMMITMENT DATE NRC COMMITMENT? OUTAGE NUMBER PARB?	E											
TRB?												
Attributes Notes:												
<u>Attribute</u>		<u>Note</u> :	<u>s</u>						<u>Last Upda</u>	ated By La	st Updated	<u>Date</u>
Cross References:												
Ref Type Reference Nbr	<u>Ref</u> <u>Sub</u>	<u>Ref Nbr</u> <u>Type</u>	<u>Status</u>	<u>Status</u>	: Date	<u>Descriptio</u>	o <u>n</u>					
Material References:												
UTC No.	Manuf	acturer	<u>Mo</u>	del No.			Serial No.					
Equipment/Componen	t Refe	rences:										
Facility Unit Op Sys	<u>Di</u>	<u>vision</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	Equip Type	<u>Equipment</u>		<u>C</u>	omp Type	Compone	ent.
Reference Docs:												
Facility Doc Type Sub	<u>Туре</u>	<u>Documer</u>	nt Number	<u>Sheet</u>	<u>Rev</u>	Minor Doc	: Date T	<u>itle</u>				

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Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

 Assign Type:
 CE
 Due Date:
 Locked
 8/14/2009
 Status/Date:
 85
 COMPLETE
 08/14/2009

 Pri Resp Grp:
 Aff Fac:
 PI
 Priority:
 5
 Unit:
 System:

Sec Resp Grp: Rsch Chgs: 0

Assign To: N152144 Department: 38 Performce Improvemt

Last Name: Petersen, Kurt W

Subject: Determine need for ACE refresher training

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

Training Request to determine need for ACE refresher N156952 06/11/2009 training based on process changes N156952 06/11/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

**Completion Notes:** 

Note Type Notes Last Updated By Last Updated Date

General NotesFrom CAPOG (industry) and from site performance during theN15214408/14/2009General NotesNRC PIR inspection it is clear that there needs to be aN15214408/14/2009General Notesreview/refresher training for ACE evaluators. CA createdN15214408/14/2009

Attributes:

Attribute Value Reqd By Last Updated By Last Updated Date

LICENSING REVIEW?

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REV.2

Report Date: 07/20/2010

Attributes:

<u>Attribute</u>			<u>vaiue</u>	<u>!</u>	<u>Rega E</u>	<u>La</u>	<u>ist Upaatea B</u> j	<u>y Last Up</u>	<u>aatea Date</u>			
MODE CHG RESTRAINT NOS REVIEW? NRC COMMITMENT DATE NRC COMMITMENT?	Ē											
OUTAGE NUMBER												
PARB?												
TRB?												
Attributes Notes:												
<u>Attribute</u>		<u>Note</u> :	<u>s</u>						Last Update	ed By Las	st Updated	<u>Date</u>
Cross References:												
Ref Type Reference Nbr	<u>Ref</u> Sub	<u>Ref Nbr</u> <u>Type</u>	<u>Status</u>	<u>Status</u>	: Date	<u>Descriptio</u>	<u>n</u>					
Material References:												
UTC No.	<u>Manufa</u>	<u>cturer</u>	<u>Mo</u>	del No.			Serial No.					
Equipment/Componen	t Refere	ences:										
Facility Unit Op Sys	<u>Divi</u>	<u>ision</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	Equip Type	<u>Equipment</u>		<u>Cor</u>	тр Туре	Compone	<u>ent.</u>
Reference Docs:												
Facility Doc Type Sub	<u>Type</u>	<u>Documer</u>	<u>nt Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u> <u>Doc</u>	<u>Date</u> <u>Ti</u>	<u>ïtle</u>				

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Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

Assign Type: CA Due Date: Locked 10/30/2009 Status/Date: 85 COMPLETE 10/30/2009

Pri Resp Grp: Aff Fac: PI Priority: 5 Unit: System:

Sec Resp Grp: Rsch Chgs: 0

Assign To: NRTS01 Department: 80 Site Management

Last Name: Northard, Scott D

Subject: Communicate CAP, RCE, ACE, CCE process changes

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

Communicate CAP, RCE, ACE, CCE process changes as needed. N156952 06/11/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

Comp	letion	Notes:
------	--------	--------

Note Type	<u>Notes</u>	Last Updated By	Last Updated Date
General Notes	This action is complete. The results of the changes to RCE	NRTS01	10/30/2009
General Notes	and ACE processes have been communicated thorugh the weekly	NRTS01	10/30/2009
General Notes	Alert Summaries on procedure changes. In addition, the PARB	NRTS01	10/30/2009
General Notes	reviewed and approved the changes, and these were discussed	NRTS01	10/30/2009
General Notes	at the Leadership Forum. CAP process changes have been	NRTS01	10/30/2009
General Notes	communicated through the Weekly Alert Summaries as well. CCE	NRTS01	10/30/2009
General Notes	changes have been minor, and the relevant change for PI has	NRTS01	10/30/2009
General Notes	been the CCE grading changes and this was reviewed at PARB.	NRTS01	10/30/2009

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REV.2

Report Date: 07/20/2010

<u>Attribute</u>

<u>Notes</u>

Completion Notes:			_			
Note Type	<u>Notes</u>				Last Updated By	Last Updated Date
General Notes	PARB also requ	ests to see specif	ic CCE evaluations for	· A	NRTS01	10/30/2009
General Notes	level, and there	is now an inline re	eview of all CAPRs by	NRTS01	10/30/2009	
General Notes	PARB. Finally, [	D15s have been u	sed to communicate a	NRTS01	10/30/2009	
General Notes	process change	s as part of the Re	ecovery Plan commun	ications.	NRTS01	10/30/2009
General Notes	No additional ac	ctions are needed.			NRTS01	10/30/2009
Attributes:						
<u>Attribute</u>		<u>Value</u>	<u>Read By</u>	Last Updated By	Last Updated Date	
LICENSING REVIEW?						
MODE CHG RESTRAINT						
MR(A)1 ACTION?						
MRFF?						
NOS REVIEW?						
NRC COMMITMENT DATE						
NRC COMMITMENT?						
OUTAGE NUMBER						
PARB?						

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Last Updated By Last Updated Date



Report Date: 07/20/2010

Cross References:										
Ref Type Reference Nbr		ef Nbr vpe <u>Status</u>	<u>Status</u>	<u>Date</u>	<u>Description</u>					
Material References:										
UTC No. Manufacturer Model No. Serial No.										
Equipment/Component References:										
Facility Unit Op Sys Division Area System Class Equip Type Equipment Comp Type Component.										
Reference Docs:										
Facility Doc Type Sub Type Document Number Sheet Rev Minor Doc Date Title										

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Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

Assign Type: TRRA Due Date: Not Locked 12/31/2009 Status/Date: 85 COMPLETE 12/29/2009

Pri Resp Grp: Aff Fac: PI Priority: 5 Unit: System:

Sec Resp Grp: Rsch Chgs: 0

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Condust Needs Assessmetn for PA Program Changes

#### Description:

<u>Description Notes</u> <u>Last Updated By</u> <u>Last Updated Date</u>

Review performance assessment process changes resulting from RGRE02 08/06/2009 the PI recovery project. Condust training needs assessment RGRE02 08/06/2009 to identify any training needs. RGRE02 08/06/2009

#### In Progress Notes:

Notes Last Updated By Last Updated Date

#### Completion Notes:

-			
Note Type	<u>Notes</u>	Last Updated By	Last Updated Date
General Notes	This action written prior to the fleet performance	RGRE02	12/29/2009
General Notes	assessment team having written training actions	RGRE02	12/29/2009
General Notes	based on the proposed changes to some CAP processes.	RGRE02	12/29/2009
General Notes	Numerous actions are already open tracking training actions	RGRE02	12/29/2009
General Notes	(see x-reff). These actions are sufficient to address	RGRE02	12/29/2009
General Notes	training needs resulting from CAP program changes. This	RGRE02	12/29/2009

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REV.2

Report Date: 07/20/2010

General Notes action can be closed as it duplicates other actions RGRE02 12/29/2009 General Notes already being tracked by the fleet PA group. See 01183116. RGRE02 12/29/2009  Attributes:  Attribute Value Read By Last Updated By Last Updated Date  MODE CHGE RESTRAINT? OUTAGE NUMBER  Attribute Notes:  Attribute Notes:										
General Notes action can be closed as it duplicates other actions RGRE02 12/29/2009 General Notes already being tracked by the fleet PA group. See 01183116. RGRE02 12/29/2009  Attributes:  Attributes  Attribute Value Read By Last Updated By Last Updated Date  MODE CHGE RESTRAINT? OUTAGE NUMBER  Attribute Notes:  Attr	Completion Notes:									
Attributes:  Attribute Value Regd By Last Updated By Last Updated Date  MODE CHGE RESTRAINT? OUTAGE NUMBER  Attribute Notes:  Attribute No	Note Type	Λ	<u>otes</u>					<u>Las</u>	st Updated By	Last Updated Date
Attributes:  Attribute	General Notes	a	ction can be	closed as it dup	olicates other actions	S		RGI	RE02	12/29/2009
Attribute Value Regd By Last Updated By Last Updated Date  MODE CHGE RESTRAINT?  OUTAGE NUMBER  Attributes Notes:  Attribute Notes:  Attribute Notes:  Last Updated By Last Updated Date  Cross References:  Ref Ref Nbr Type Reference Nbr Sub Type Status Status Date Description  AS 01183116 AR APPROVED 06/15/2009 Corrective Action Implementation Resolution  AS 01194552 1800 AS COMPLETE 08/27/2009 TRAINING REQUEST FOR FLEET PROCEDURE REVISION  Material References:  UTC No. Manufacturer Model No. Serial No.  Equipment/Component References:	General Notes	al	ready being t	tracked by the	fleet PA group. See	01183116.		RGI	RE02	12/29/2009
MODE CHGE RESTRAINT? OUTAGE NUMBER  Attributes Notes:  Attribute Notes:  Last Updated By Last Updated Date  Cross References:  Ref Type Reference Nbr Type Status Status Date Description  AS 01183116 AR APPROVED 06/15/2009 Corrective Action Implementation Resolution  AS 01194552 1800 AS COMPLETE 08/27/2009 TRAINING REQUEST FOR FLEET PROCEDURE REVISION  Material References:  UTC No. Manufacturer Model No. Serial No.  Equipment/Component References:	Attributes:									
Attribute Notes:  Attribute No	<u>Attribute</u>			<u>Value</u>	Read B	<u> L</u>	ast Updated By	Last Updated	<u>Date</u>	
Attribute Notes:  Attribute Notes  Last Updated By Last Updated Date  Cross References:  Ref Ref Nbr Type Reference Nbr Sub Type Status Status Date Description  AS 01183116 AR APPROVED 06/15/2009 Corrective Action Implementation Resolution  AS 01194552 1800 AS COMPLETE 08/27/2009 TRAINING REQUEST FOR FLEET PROCEDURE REVISION  Material References:  UTC No. Manufacturer Model No. Serial No.  Equipment/Component References:	MODE CHGE RESTRAINT	?								
Attribute  Notes  Last Updated By Last Updated Date  Cross References:  Ref Ref Nbr Type Reference Nbr Sub Type Status Status Date Description  AS 01183116 AR APPROVED 06/15/2009 Corrective Action Implementation Resolution  AS 01194552 1800 AS COMPLETE 08/27/2009 TRAINING REQUEST FOR FLEET PROCEDURE REVISION  Material References:  UTC No. Manufacturer Model No. Serial No.  Equipment/Component References:	OUTAGE NUMBER									
Cross References:    Ref	Attributes Notes:									
Ref Ref Nbr Type Reference Nbr Sub Type Status Status Date Description  AS 01183116 AR APPROVED 06/15/2009 Corrective Action Implementation Resolution  AS 01194552 1800 AS COMPLETE 08/27/2009 TRAINING REQUEST FOR FLEET PROCEDURE REVISION  Material References:  UTC No. Manufacturer Model No. Serial No.  Equipment/Component References:	<u>Attribute</u>		<u>Note</u>	<u>s</u>				Las	st Updated By	Last Updated Date
Type       Reference Nbr       Sub       Type       Status       Status Date       Description         AS 01183116       AR       APPROVED 06/15/2009       Corrective Action Implementation Resolution         AS 01194552       1800       AS       COMPLETE 08/27/2009       TRAINING REQUEST FOR FLEET PROCEDURE REVISION         Material References:         UTC No.       Manufacturer       Model No.       Serial No.         Equipment/Component References:	Cross References:									
AS 01183116 AR APPROVED 06/15/2009 Corrective Action Implementation Resolution AS 01194552 1800 AS COMPLETE 08/27/2009 TRAINING REQUEST FOR FLEET PROCEDURE REVISION  Material References:  UTC No. Manufacturer Model No. Serial No.  Equipment/Component References:	<u>Ref</u>	Ref	Ref Nbr							
AS 01194552 1800 AS COMPLETE 08/27/2009 TRAINING REQUEST FOR FLEET PROCEDURE REVISION  Material References:  UTC No. Manufacturer Model No. Serial No.  Equipment/Component References:	Type Reference Nbr	<u>Sub</u>	<u>Type</u>	<u>Status</u>	Status Date	<u>Description</u>	<u>on</u>			
Material References:  UTC No. Manufacturer Model No. Serial No.  Equipment/Component References:	AS 01183116		AR	APPROVED	06/15/2009	Corrective	Action Implemen	ntation Resolution	า	
UTC No. Manufacturer Model No. Serial No.  Equipment/Component References:	AS 01194552	1800	AS	COMPLETE	08/27/2009	TRAINING	REQUEST FOR	R FLEET PROCE	DURE REVISION	ON
Equipment/Component References:	Material References:									
	UTC No.	Manu	<u>facturer</u>	<u>Mode</u>	l No.		Serial No.			
	Equipment/Component	t Refe	rences:							
racility Unit Up-Sys Division Area System Class Equip-Type Equipment Comp-Type Component.	Facility Unit Op Sys	D	ivision	Area	System Class	Equip Type	<u>Equipment</u>		Comp Ty	<u>rpe Component.</u>

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Report Date: 07/20/2010

Reference Docs:				
Facility Doc Type Sub Type	Document Number Sheet	Rev Minor Doc Date	<u>Title</u>	

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Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

Assign Type: CA Due Date: Not Locked 12/31/2009 Status/Date: 85 COMPLETE 10/01/2009

Pri Resp Grp: Aff Fac: PI Priority: 5 Unit: System:

Sec Resp Grp: Rsch Chgs: 0

Assign To: WNDJ04 Department: 38 Performce Improvemt

Last Name: Windschill, John E

Subject: Revise FL-CAP-SCT-001G Screening Committee JFG

#### Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

Revise Screening Committee Member JFG per attached draft.RGRE0208/14/2009Revision request is a result of PI performance recoveryRGRE0208/14/2009project evaluation improvement reviews.RGRE0208/14/2009

#### In Progress Notes:

Notes Last Updated By Last Updated Date

#### Completion Notes:

Note Type	<u>Notes</u>	Last Updated By	Last Updated Date
General Notes	The changes associated with this action are	WNDJ04	10/01/2009
General Notes	enhancements only, not a corrective action.	WNDJ04	10/01/2009
General Notes	Therefore, this action is being cross referenced	WNDJ04	10/01/2009
General Notes	to 1187837-18, and closed. The referenced	WNDJ04	10/01/2009
General Notes	action involves a corrective action to the JFG.	WNDJ04	10/01/2009

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Report Date: 07/20/2010

Attributes:

<u>Attribute</u>		<u>Value</u>	<u>Read B</u>	<u>Last Updated By</u> <u>Last Upda</u>	ated Date
LICENSING REVIEW?					
MODE CHG RESTRAINT					
MR(A)1 ACTION?					
MRFF?					
NOS REVIEW?					
NRC COMMITMENT DATE					
NRC COMMITMENT?					
OUTAGE NUMBER					
PARB?					
TRB?					
Attributes Notes:					
<u>Attribute</u>	<u>Note</u> :	<u>S</u>			Last Updated By Last Updated Date
Cross References:					
Ref R	<u>Ref Nbr</u>				
Type Reference Nbr S	<u>ub Type</u>	<u>Status</u>	Status Date	<u>Description</u>	
AS 01187837 18	800 AS	COMPLETE	11/17/2009	Revise PARB and CAP Screening Team	n JFGs
Material References:					
UTC No. M	lanufacturer	<u>Model I</u>	No.	<u>Serial No.</u>	
Equipment/Component F	References:				
Facility Unit Op Sys	<u>Division</u>	<u>Area</u> <u>S</u>	System Class	Equip Type Equipment	Comp Type Component.

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Report Date: 07/20/2010

Reference Docs:					
Facility Doc Type	Sub Type	Document Number Sheet	<u>Rev</u> <u>Minor</u> <u>De</u>	Doc Date <u>Title</u>	

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Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

 Assign Type:
 CA
 Due Date:
 Not Locked
 12/9/2009
 Status/Date:
 85
 COMPLETE
 10/01/2009

 Pri Resp Grp:
 Aff Fac:
 Pl
 Priority:
 5
 Unit:
 System:

Sec Resp Grp: Rsch Chgs: 0

Assign To: WNDJ04 Department: 38 Performce Improvemt

Last Name: Windschill, John E

Subject: Revise FL-CAP-ACE-001G ACE JFG

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

Consider revision to ACE JFG per the attached draft.RGRE0208/14/2009Revision request is a result of the PI performanceRGRE0208/14/2009recovery project evaluation improvement reviewsRGRE0208/14/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

Completion Notes:

Note Type Notes Last Updated By Last Updated Date

General Notes Changes evaluated. The changes are enhancements, WNDJ04 10/01/2009
General Notes not a corrective action. This action is cross referenced WNDJ04 10/01/2009
General Notes to corrective action 1183116-11 and closed. WNDJ04 10/01/2009

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Report Date: 07/20/2010

Attributes:

<u>Attribute</u>	<u>Value</u> <u>Read E</u>	By Last Updated By Last Updated Date
LICENSING REVIEW?		
MODE CHG RESTRAINT		
MR(A)1 ACTION?		
MRFF?		
NOS REVIEW?		
NRC COMMITMENT DATE		
NRC COMMITMENT?		
OUTAGE NUMBER		
PARB?		
TRB?		
Attributes Notes:		
<u>Attribute</u> <u>Note</u>	<u>s</u>	<u>Last Updated By</u> <u>Last Updated Date</u>
Cross References:		
Ref Ref Nbr		
<u>Type Reference Nbr Sub Type</u>	<u>Status</u> <u>Status Date</u>	<u>Description</u>
AS 01183116 1100 AS	COMPLETE 02/19/2010	Revise ACE Evaluator JFG
Material References:		
UTC No. <u>Manufacturer</u>	<u>Model No.</u>	Serial No.
Equipment/Component References:		
Facility Unit Op Sys Division	Area System Class	E Equip Type Equipment Comp Type Component.

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Report Date: 07/20/2010

Reference Docs:					
Facility Doc Type	Sub Type	Document Number Sheet	<u>Rev Minor D</u>	Doc Date <u>Titl</u>	

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Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

Assign Type: CA Due Date: Not Locked 12/2/2009 Status/Date: 85 COMPLETE 10/01/2009

Pri Resp Grp: Aff Fac: PI Priority: 5 Unit: System:

Sec Resp Grp: Rsch Chgs: 0

Assign To: WNDJ04 Department: 38 Performce Improvemt

Last Name: Windschill, John E

Subject: Revise FL-CAP-RCE-001G, RCE Job Familiarization Guide

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

Consider revision to RCE JFG per attached draft.RGRE0208/24/2009Revision request is a result of PI performance recoveryRGRE0208/14/2009evaluation improvement reviews. See attached ce write upRGRE0208/14/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

Completion Notes:

Note Type Notes Last Updated By Last Updated Date

General Notes The recommended changes are enhancements, not WNDJ04 10/01/2009

General Notes a corrective action. WNDJ04 10/01/2009

Attribute <u>Value</u> <u>Reqd By</u> <u>Last Updated By</u> <u>Last Updated Date</u>

LICENSING REVIEW?

Attributes:

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REV.2

Report Date: 07/20/2010

Attributes: **Attribute Value** Read By Last Updated By Last Updated Date MODE CHG RESTRAINT MR(A)1 ACTION? MRFF? NOS REVIEW? NRC COMMITMENT DATE NRC COMMITMENT? **OUTAGE NUMBER** PARB? TRB? Attributes Notes: <u>Attribute</u> Last Updated By Last Updated Date <u>Notes</u> Cross References: Ref <u>Ref</u> Ref Nbr Type Reference Nbr <u>Sub</u> **Type** <u>Status</u> Status Date AS 01200557 AR COMP-NA 12/15/2009 Improve RCE JFG per Sharepoint draft in 1183117-24 Material References: UTC No. **Manufacturer** Model No. Serial No. Equipment/Component References: Facility Unit Op Sys **Division** System Class Equip Type Equipment Comp Type Component. <u>Area</u>

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Report Date: 07/20/2010

Reference Docs:				
Facility Doc Type Sub Type	Document Number Sheet	Rev Minor Doc Date	<u>Title</u>	

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Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

Assign Type: CA Due Date: Not Locked 1/8/2010 Status/Date: 85 COMPLETE 01/06/2010

Pri Resp Grp: Aff Fac: PI Priority: 5 Unit: System:

Sec Resp Grp: Rsch Chgs: 0

Assign To: N152144 Department: 38 Performce Improvemt

Last Name: Petersen, Kurt W

Subject: Complete ACE refresher info sharing

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

 Review new CBT with all ACE evaluators and check for comprension
 N152144
 08/14/2009

 N152144
 08/14/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

Completion Notes:

Note Type	<u>Notes</u>	Last Updated By	Last Updated Date
General Notes	There is a larger concern than refresher training that is	N152144	01/06/2010
General Notes	currently being addressed under AR 1183116 actions 9-13.	N152144	01/06/2010
General Notes	A refresher training would be insufficient to meet the needs	N152144	01/06/2010
General Notes	that were indentified under AR 01187837. These actions	N152144	01/06/2010
General Notes	include formal ACE training that did not exist. It also	N152144	01/06/2010
General Notes	incldues problem statement and cap screening improvements.	N152144	01/06/2010
General Notes	This action will be canceled and these other CAP actions	N152144	01/06/2010

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Report Date: 07/20/2010

Completion Notes:							
Note Type	<u>Notes</u>					Last Updated	By Last Updated Date
General Notes	that are more agre	ssive will ta	ake its place. The s	uccess of		N152144	01/06/2010
General Notes	this effort under AF	0118783	7 is reviewed by the	PARB and is		N152144	01/06/2010
General Notes	part of the CAP red	overy plan	N152144	01/06/2010			
General Notes	No additional actio	ns are requ	uired at this time.			N152144	01/06/2010
Attributes:							
<u>Attribute</u>		<u>Value</u>	<u>Read B</u>	<u>y Las</u>	t Updated By	Last Updated Date	
LICENSING REVIEW?							
MODE CHG RESTRAINT							
MR(A)1 ACTION?							
MRFF?							
NOS REVIEW?							
NRC COMMITMENT DATE							
NRC COMMITMENT?							
OUTAGE NUMBER							
PARB?							
TRB?							
Attributes Notes:							
<u>Attribute</u>	<u>Notes</u>					<u>Last Updated</u>	By Last Updated Date
Cross References:							
Ref Reference Nbr So		atus	Status Date	Description			

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Report Date: 07/20/2010

Material	Material References:												
UTC No.		<u>M</u>	anufacturer		Serial No.								
Equipment/Component References:													
<u>Facility</u>	<u>Unit</u> O	p Sys	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	Equip T	<u>ype l</u>	<u> quipm</u>	<u>ent</u>		Comp Type	Component.
Reference	Reference Docs:												
Facility D	Doc Type	<u>Sub T</u>	<u>ype Docume</u>	ent Number	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Da</u>	ate	<u>Title</u>			

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Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

Assign Type: TRRA Due Date: Not Locked 12/31/2009 Status/Date: 85 COMPLETE 12/29/2009

Pri Resp Grp: Pl Priority: 5 Unit: System:

Sec Resp Grp: Rsch Chgs: 0

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

**Subject:** Revise ACE CBT as needed based on PA Process Changes

#### Description:

<u>Description Notes</u>	Last Updated By	Last Updated Date
TRRA is tracking potential need to revise ACE CBT. If change	RGRE02	08/24/2009
s are made to ACE Manual and other ACE-related processes,	RGRE02	08/24/2009
the CBT will need to be updated. THis TRRA is tracking that	RGRE02	08/24/2009
potential action.	RGRE02	08/24/2009

#### In Progress Notes:

Notes Last Updated By Last Updated Date

#### Completion Notes:

Note Type	<u>Notes</u>	Last Updated By	Last Updated Date
General Notes	ACE CBT was reviewed. See attached CE write-up in	RGRE02	08/24/2009
General Notes	SahrePoint. OTHA 01183117-26 initiated to track	RGRE02	08/24/2009
General Notes	potential need to revise CBT. If ACE processes are revised	RGRE02	08/24/2009
General Notes	the CBT will need to be revised. Otherwise, no revision is	RGRE02	08/24/2009
General Notes	needed.	RGRE02	08/24/2009

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Report Date: 07/20/2010

Completion Notes:							
Note Type	<u>Notes</u>					Last Updated E	By Last Updated Date
General Notes	Re-visited AC	E CBT and ve	rified that it still	RGRE02	12/29/2009		
General Notes	aligns with cur	rent ACE prod	ess. No revision			RGRE02	12/29/2009
General Notes	needed at this	time.				RGRE02	12/29/2009
General Notes	This action ca	n be closed.				RGRE02	12/29/2009
Attributes:							
<u>Attribute</u>		<u>Value</u>	Rega	' <u>Ву</u>	Last Updated By	Last Updated Date	
MODE CHGE RESTRAINT?							
OUTAGE NUMBER							
Attributes Notes:							
<u>Attribute</u>	<u>Note</u>	<u>s</u>				<u>Last Updated E</u>	By Last Updated Date
Cross References:							
Ref R	ef Ref Nbr						
Type Reference Nbr S	ub <u>Type</u>	<u>Status</u>	Status Date	<u>Desci</u>	<u>ription</u>		
Material References:							
UTC No. M	lanufacturer	<u>Mode</u>	el No.		Serial No.		
Equipment/Component I	References:						
Facility Unit Op Sys	Division	<u>Area</u>	System Class	Equip T	<u>ype Equipment</u>	Comp	Type Component.

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Report Date: 07/20/2010

Reference Docs:				
Facility Doc Type Sub Type	Document Number Sheet	<u>Rev Minor Doc D</u>	<u>ate</u> <u>Title</u>	

Pedigree Information Page 116 of 150



Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

Assign Type: PCRA **Due Date:** Not Locked 11/30/2009 Status/Date: 85 COMPLETE 09/25/2009 Aff Fac: Ы Unit: System: Pri Resp Grp: Priority: 5

Rsch Chgs: 0 Sec Resp Grp:

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Revise FG-PA-ACE-01, Ace Manual

Description:

**Description Notes** Last Updated By Last Updated Date

Revise ACE Manual. See PCR 1193530 for proposed RGRE02 08/24/2009 draft RGRE02 08/24/2009

In Progress Notes:

Last Updated By Last Updated Date **Notes** 

RGRE02 09/09/2009 Removed PCR x-reff and updated with PRC x-ref 01194552 as it will be implemented sooner and is more appropriate to this action.

Completion Notes:		
Note Type	<u>Notes</u>	Last Updated By Last Updated Date
General Notes	ACE Manual has been revised and posted. Reviewed new	RGRE02 09/25/2009
General Notes	revision. While the changes recommended from the PI recovery	RGRE02 09/25/2009
General Notes	project were not incorporated into the new rev "verbatim,"	RGRE02 09/25/2009
General Notes	the revised ACE manual is much improved and the intent of	RGRE02 09/25/2009
General Notes	the recommended changes were sufficiently captured.	RGRE02 09/25/2009

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REV.2

Report Date: 07/20/2010

Completion Notes:								
Note Type	<u>No</u>	<u>otes</u>					<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	Thi	is action is c	omplete.		RGRE02	09/25/2009		
Attributes:								
<u>Attribute</u>			<u>Value</u>	<u>Regd By</u>	<u>Last Upda</u>	ted By	Last Updated Date	
MODE CHGE RESTRAIN OUTAGE NUMBER	IT?							
Attributes Notes:								
<u>Attribute</u>	<u>Notes</u>						<u>Last Updated By</u>	Last Updated Date
Cross References:								
Ref Type Reference Nbr AS 01194552	<u>Ref</u> <u>Sub</u>	<u>Ref Nbr</u> <u>Type</u> AR	<u>Status</u> COMPLETE	<u>Status Date</u> 09/11/2009	<u>Description</u> FG-PA-ACE-01, rev	14		
Material References:								
UTC No.	Manufa	acturer_	<u>Model</u>	No.	<u>Serial No</u>	<u>0.</u>		
Equipment/Compone	nt Refer	ences:						
Facility Unit Op Sys	s <u>Di</u> v	<u>vision</u>	<u>Area</u> S	<u>System Class E</u>	<u> Equip Type</u> <u>Equipr</u>	<u>ment</u>	<u>Comp Ty</u>	pe Component.
Reference Docs:								
Facility Doc Type Su	ıb T <u>ype</u>	<u>Documer</u>	nt Number Sh	<u>eet Rev</u> M	Minor <u>Doc Date</u>	<u>Title</u>	<u>e</u>	

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Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

Assign Type: PCRA Due Date: Locked 11/30/2009 Status/Date: 85 COMPLETE 09/25/2009

Pri Resp Grp: PI Priority: 5 Unit: System:

Sec Resp Grp: Rsch Chgs: 0

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Revise QF 0431, ACE Report Template

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

 Revise ACE Template. See PCR 1193531 for proposed
 RGRE02
 08/24/2009

 draft.
 RGRE02
 08/24/2009

In Progress Notes:

Notes <u>Last Updated By</u> <u>Last Updated Date</u>

Completion Notes:

Note Type Notes Last Updated By Last Updated Date

General NotesACE template was revised. Reviewed new revision and itRGRE0209/25/2009General Notesadequately addressed recommendations from PI recoveryRGRE0209/25/2009General Notesproject. Action is complete.RGRE0209/25/2009

Attributes:

Attribute Value Reqd By Last Updated By Last Updated Date

MODE CHGE RESTRAINT?

Pedigree Information Page 121 of 150



Report Date: 07/20/2010

Attributes:							
<u>Attribute</u>			<u>Value</u>	<u>Read E</u>	<u>Last</u>	Updated By L	ast Updated Date
OUTAGE NUMBER							
Attributes Notes:							
<u>Attribute</u>		Note	<u>s</u>				Last Updated By Last Updated Date
Cross References:							
Ref Type Reference Nbr AS 01193531	<u>Ref</u> Sub	<u>Ref Nbr</u> <u>Type</u> AR	<u>Status</u> COMPLETE	<u>Status Date</u> 09/11/2009	Description	Annarent Caus	se Report Template
Material References:		AIX	COMIT LETE	09/11/2009	QI 043111eV	4 Аррагені Оайз	se report remplate
UTC No.	<u>Manu</u>	<u>facturer</u>	<u>Model</u>	<u>No.</u>	<u>Se</u>	e <u>rial No.</u>	
Equipment/Compone	ent Refe	rences:					
Facility Unit Op S	<u>vs D</u>	<u>ivision</u>	<u>Area</u> S	<u>System</u> <u>Class</u>	Equip Type	<u>Equipment</u>	Comp Type Component.
Reference Docs:							
Facility Doc Type S	ub Type	Documei	nt Number Sh	eet Rev	Minor Doc D	ate Title	

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Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

Assign Type: PCRA Due Date: Not Locked 11/30/2009 Status/Date: 85 COMPLETE 09/25/2009

Pri Resp Grp: PI Priority: 5 Unit: System:

Pri Resp Grp: Aff Fac: PI Priority: 5 Unit: System:

Sec Resp Grp: Rsch Chgs: 0

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Revise QF 0430, ACE Grading Form

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

 Revise ACE Grading Form. See PCR 1193533 for proposed draft.
 RGRE02
 08/24/2009

 draft.
 RGRE02
 08/24/2009

In Progress Notes:

Notes <u>Last Updated By</u> <u>Last Updated Date</u>

**Completion Notes:** 

Note Type Notes Last Updated By Last Updated Date

General NotesACE Grading sheet was revised. Reviewed new grading sheetRGRE0209/25/2009General Noteschanges implemented adequately address recommendations fromRGRE0209/25/2009General NotesPI recovery project reviews. Action is complete.RGRE0209/25/2009

Attributes:

<u>Attribute</u> <u>Value</u> <u>Reqd By</u> <u>Last Updated By</u> <u>Last Updated Date</u>

MODE CHGE RESTRAINT?

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Report Date: 07/20/2010

Attributes:						
<u>Attribute</u>			<u>Value</u>	<u>Read B</u>	y <u>Last Updated By</u> <u>Last U</u>	pdated Date
OUTAGE NUMBER						
Attributes Notes:						
<u>Attribute</u>		<u>Note</u>	<u>s</u>			Last Updated By Last Updated Date
Cross References:						
Ref Type Reference Nbr	<u>Ref</u> Sub	<u>Ref Nbr</u> <u>Type</u>	<u>Status</u>	Status Date	Description	
AS 01193533		AR	COMPLETE	09/11/2009	QF 0430 Rev 5 ACE Grading Sheet	
Material References	:					
UTC No.	<u>Manu</u>	<u>ıfacturer</u>	<u>Model</u>	No.	<u>Serial No.</u>	
Equipment/Compon	ent Refe	erences:				
Facility Unit Op S	<u>ys D</u>	<u>Division</u>	<u>Area</u>	System Class	Equip Type Equipment	Comp Type Component.
Reference Docs:						
Facility Doc Type S	Sub Type	e Documei	nt Number Sh	neet Rev	Minor Doc Date Title	

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Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

 Assign Type:
 PCRA
 Due Date:
 Not Locked
 10/30/2009
 Status/Date:
 85
 COMPLETE
 09/25/2009

 Pri Resp Grp:
 Aff Fac:
 Pl
 Priority:
 5
 Unit:
 System:

Sec Resp Grp: Rsch Chgs: 0

.....

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Revise FG-PA-RCE-01, RCE Manual

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

 Revise RCE Manual. See PCR 1179097 for proposed
 RGRE02
 08/24/2009

 draft
 RGRE02
 08/24/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

Completion Notes:

Note Type Notes Last Updated By Last Updated Date

General Notes RGRE02 09/09/2009 RCE Manual was revised. Rev 16 posted on 9/9/09 and General Notes RGRE02 09/09/2009 includes changes as recommended from evaluation General Notes improvement reviews per the PI Performance Recovery RGRE02 09/09/2009 General Notes RGRE02 09/09/2009 Project.

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Report Date: 07/20/2010

Attributes:									
<u>Attribute</u>				<u>Value</u>	<u>Rega</u>	<u>I By</u> <u>L</u>	ast Updated By	Last Updated Date	
MODE CHGE RESTOUTAGE NUMBER									
Attributes Notes	:								
<u>Attribute</u>			<u>Notes</u>	<u>S</u>				<u>Last Updated By</u>	<u>Last Updated Date</u>
Cross Reference	es:								
Ref Type Reference I AS 01179097		ub T	R <u>ef Nbr</u> T <u>ype</u> AR	<u>Status</u> COMPLETE	<u>Status Date</u> 09/10/2009	<u>Descripti</u> FG-PA-R0	<u>on</u> CE-01 Revision 14	1	
Material Referen	ces:								
UTC No.	<u>M</u>	lanufact	<u>urer</u>	<u>Model</u>	No.		Serial No.		
Equipment/Comp	ponent I	Referen	ces:						
<u>Facility Unit C</u>	Op Sys	<u>Divisi</u>	<u>ion</u>	<u>Area</u> S	System Class	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp T</u>	ype Component.
Reference Docs:	:								
Facility Doc Type	Sub 7	vpe D	Documen	nt Number Sh	<u>eet Re</u>	v <u>Minor</u> <u>Do</u>	c Date <u>Titi</u>	<u>le</u>	

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Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

Assign Type: PCRA Due Date: Not Locked 10/30/2009 Status/Date: 85 COMPLETE 09/25/2009

Pri Resp Grp: Aff Fac: PI Priority: 5 Unit: System:

Sec Resp Grp: Rsch Chgs: 0

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Revise QF 0434, RCE Report Template

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

 Revise RCE Template. See PCR 1182419 for proposed
 RGRE02
 08/24/2009

 draft.
 RGRE02
 08/24/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

Completion Notes:

Note Type Notes Last Updated By Last Updated Date

General NotesRCE template revised. Changes adequately addressRGRE0209/25/2009General Notesrecommendations from PI recovery project. Action is completeRGRE0209/25/2009

Attributes:

Attribute Value Reqd By Last Updated By Last Updated Date

MODE CHGE RESTRAINT? OUTAGE NUMBER

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REV.2

Report Date: 07/20/2010

Attributes Notes:								
<u>Attribute</u>	<u>Notes</u>					Last Updated By Last Updated Date		
Cross References:								
Ref	Ref	<u>Ref Nbr</u>						
<u>Type</u> <u>Reference Nbi</u>	<u>r Sub</u>	<u>Type</u>	<u>Status</u>	Status Date	<u>Description</u>			
AS 01182419		AR	COMPLETE	09/10/2009	QF-0433, rev 2 RCE Template			
Material References	s:							
UTC No.	<u>Manu</u>	facturer	<u>Model</u>	No.	<u>Serial No.</u>			
Equipment/Compo	nent Refe	rences:						
Facility Unit Op S	S <u>ys</u> D	<u>ivision</u>	<u>Area</u>	System Class	Equip Type Equipment	Comp Type Component.		
Reference Docs:								
Facility Doc Type	Sub Type	Documer	nt Number Sh	<u>reet</u> <u>Rev</u>	Minor Doc Date <u>Title</u>			

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Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

Assign Type: PCRA Due Date: Not Locked 10/30/2009 Status/Date: 85 COMPLETE 09/25/2009

Pri Resp Grp: Aff Fac: PI Priority: 5 Unit: System:

Sec Resp Grp: Rsch Chgs: 0

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Revise QF 0432, RCE Grading Form

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

 Revise RCE Grading Form. See PCR 1179089 for proposed draft.
 RGRE02
 08/24/2009

 draft.
 RGRE02
 08/24/2009

In Progress Notes:

Notes <u>Last Updated By</u> <u>Last Updated Date</u>

Completion Notes:

Note Type Notes Last Updated By Last Updated Date

General NotesRCE grading for was revised. Rev 7 posted 9/9/09 andRGRE0209/09/2009General Notesincludes recommendations from evaluation improvementRGRE0209/09/2009General Notesreviews for PI performance recovery.RGRE0209/09/2009

Attributes:

Attribute Value Reqd By Last Updated By Last Updated Date

MODE CHGE RESTRAINT?

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REV.2

Report Date: 07/20/2010

Attributes:							
<u>Attribute</u>			<u>Value</u>	Read B	By <u>La</u>	st Updated By	By Last Updated Date
OUTAGE NUMBER							
Attributes Notes:							
<u>Attribute</u>		<u>Note</u>	<u>s</u>				<u>Last Updated By</u> <u>Last Updated Date</u>
Cross References:							
Ref Type Reference Nbr	<u>Ref</u> Sub	<u>Ref Nbr</u> <u>Type</u>	<u>Status</u>	Status Date	<u>Description</u>	<u>1</u>	
AS 01179089		AR	COMPLETE	09/10/2009	QF-0432 Re	ev. 2 RCE Gradi	ading Form
Material References:							
UTC No.	<u>Manu</u>	<u>ıfacturer</u>	<u>Model</u>	No.	<u>.</u>	Serial No.	
Equipment/Compone	ent Refe	erences:					
Facility <u>Unit</u> Op Sy	<u>/s D</u>	<u> Pivision</u>	<u>Area</u>	System Class	Equip Type	<u>Equipment</u>	Comp Type Component.
Reference Docs:							
Facility Doc Type S	uh Type	Documer	nt Number Sh	eet Rev	Minor Doc	Date Titi	

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Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

Assign Type: PCRA Due Date: Not Locked 12/31/2009 Status/Date: 85 COMPLETE 12/27/2009

Pri Resp Grp: Aff Fac: PI Priority: 5 Unit: System:

Sec Resp Grp: Rsch Chgs: 0

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Revise FG-PA-CCE-01, Common Cause Evaluation Manual

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

 Revise CCE Manual. See PCR 1193537 for proposed
 RGRE02
 08/24/2009

 draft
 RGRE02
 08/24/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

#### Completion Notes:

Note Type	<u>Notes</u>	Last Updated By	Last Updated Date
General Notes	Requested change was reviewed by the fleet performance	RGRE02	12/27/2009
General Notes	assessment group and peer group. Corrective action	RGRE02	12/27/2009
General Notes	01183116-14 has been written and is due in the 1st quarter	RGRE02	12/27/2009
General Notes	of 2010 to retire the CCE process and roll it up into an	RGRE02	12/27/2009
General Notes	evaluation method as part of the ACE process. So, to perform	RGRE02	12/27/2009
General Notes	these changes would be an unwise expenditure of resources	RGRE02	12/27/2009
General Notes	as the CCE manual will soon be obsolete and not needed. This	RGRE02	12/27/2009

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REV.2

Report Date: 07/20/2010

 Completion Notes:

 Note Type
 Notes
 Last Updated By
 Last Updated Date

 General Notes
 PCRA is closed to action 1183116-14. See x-reff.
 RGRE02
 12/27/2009

<u>Attribute</u> <u>Value</u> <u>Regd By</u> <u>Last Updated By</u> <u>Last Updated Date</u>

MODE CHGE RESTRAINT? OUTAGE NUMBER

Attributes:

Attributes Notes:

Attribute <u>Notes</u> <u>Last Updated By Last Updated Date</u>

Cross References:

Ref Ref Nbr

 Type
 Reference Nbr
 Sub
 Type
 Status
 Status Date
 Description

 AS
 01183116
 AR
 APPROVED
 06/15/2009
 Corrective Action Implementation Resolution

AS 01193537 AR COMP-NA 11/02/2009 FG-PA-CCE-01 Common Cause Evaluation Manual

Material References:

<u>UTC No.</u> <u>Manufacturer</u> <u>Model No.</u> <u>Serial No.</u>

Equipment/Component References:

Facility Unit Op Sys Division Area System Class Equip Type Equipment Comp Type Component.

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Report Date: 07/20/2010

Reference Docs:				
Facility Doc Type Sub Type	Document Number Sheet	Rev Minor Doc Date	<u>Title</u>	

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Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

Assign Type: PCRA Due Date: Not Locked 12/31/2009 Status/Date: 85 COMPLETE 12/27/2009 ы Unit:

Aff Fac: Pri Resp Grp: Priority: 5 System:

Rsch Chgs: 0 Sec Resp Grp:

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Revise QF 0435, CCE Report Template Subject:

Description:

**Description Notes** Last Updated By Last Updated Date

Revise CCE Template. See PCR 1193540 for proposed RGRE02 08/24/2009 RGRE02 draft. 08/24/2009

In Progress Notes:

**Notes** Last Updated By Last Updated Date

Completion Notes:

Note Type	<u>Notes</u>	Last Updated By	Last Updated Date
General Notes	Proposed PCR and changes reviewed by fleet performance	RGRE02	12/27/2009
General Notes	assessment group and peer team. Additional improvements have	RGRE02	12/27/2009
General Notes	been identified and are being tracked via action 1183116-14.	RGRE02	12/27/2009
General Notes	This action will eliminate the need for revision to the CCE	RGRE02	12/27/2009
General Notes	template. The Proposed PCR was closed to no actions and this	RGRE02	12/27/2009
General Notes	PCRA is closed to 1183116-14. see x-reff.	RGRE02	12/27/2009

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REV.2

Report Date: 07/20/2010

Attributes: **Attribute Value** Read By Last Updated By Last Updated Date MODE CHGE RESTRAINT? **OUTAGE NUMBER** Attributes Notes: <u>Attribute</u> Last Updated By Last Updated Date <u>Notes</u> Cross References: <u>Ref</u> <u>Ref</u> Ref Nbr Type Reference Nbr **Description** <u>Sub</u> <u>Type</u> <u>Status</u> Status Date AS 01183116 AR APPROVED 06/15/2009 Corrective Action Implementation Resolution AS 01193540 AR COMP-NA 11/03/2009 QF 0435 Rev 4 Common Cause Evaluation Report Template Material References: UTC No. **Manufacturer** Model No. Serial No. Equipment/Component References: Facility Unit Op Sys System Class Equip Type Equipment Comp Type Component. <u>Area</u> Reference Docs: Facility Doc Type Sub Type

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Doc Date

<u>Title</u>

Rev Minor

Document Number Sheet



Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

Assign Type: PCRA Due Date: Not Locked 12/31/2009 Status/Date: 85 COMPLETE 12/29/2009

Pri Resp Grp: PI Priority: 5 Unit: System:

Sec Resp Grp: Rsch Chgs: 0

set resp dip.

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Revise PINGP 1633, CCE Grading Form

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

 Revise CCE Grading Form. See PCR 1193546 for proposed draft.
 RGRE02
 08/24/2009

 draft.
 RGRE02
 08/24/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

Completion Notes:

Last Updated By Last Updated Date Note Type **Notes** General Notes RGRE02 12/29/2009 he CCE process is being deleted by the fleet performance General Notes RGRE02 12/29/2009 assessment process owners. It is being incorporated into General Notes existing evaluation processes (ACE, RCE, etc.). Therefore RGRE02 12/29/2009 General Notes RGRE02 12/29/2009 this assignment is no longer necessary. Action can be RGRE02 12/29/2009 **General Notes** closed. See AR 1183116-14.

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REV.2

Report Date: 07/20/2010

Facility Doc Type Sub Type

Document Number Sheet

Attributes: **Attribute Value** Read By Last Updated By Last Updated Date MODE CHGE RESTRAINT? **OUTAGE NUMBER** Attributes Notes: <u>Attribute</u> Last Updated By Last Updated Date <u>Notes</u> Cross References: <u>Ref</u> <u>Ref</u> Ref Nbr Type Reference Nbr **Description** <u>Sub</u> <u>Type</u> <u>Status</u> Status Date AS 01183116 AR APPROVED 06/15/2009 Corrective Action Implementation Resolution AS 01193546 AR APPROVED 08/20/2009 PINGP 1633 Rev 0 Common Cause Grading Form (GC) Material References: Model No. UTC No. **Manufacturer** Serial No. Equipment/Component References: Facility Unit Op Sys **Division** System Class Equip Type Equipment Comp Type Component. <u>Area</u> Reference Docs:

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Doc Date

<u>Title</u>

Rev Minor



Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

Assign Type: PCRA Due Date: Not Locked 12/31/2009 Status/Date: 85 COMPLETE 12/29/2009

Pri Resp Grp: Aff Fac: PI Priority: 5 Unit: System:

Sec Resp Grp: Rsch Chgs: 0

Assign To: RGRE02 Department: 92 Training Technical

Last Name: Rogers, Elizabeth M

Subject: Revise FG-PA-PAR-01, PARB Guideline

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

 Revise PARB Guideline. See PCR 1193589 for proposed
 RGRE02
 08/24/2009

 draft
 RGRE02
 08/24/2009

In Progress Notes:

Notes Last Updated By Last Updated Date

Changed cross referenced to PCR 1199970 based on fleet RGRE02 09/29/2009

Performance Assessment activities.

Completion Notes:

Note Type Notes Last Updated By Last Updated Date

 General Notes
 Rev 10 of FG-PA-PAR-01 was completed and posted
 RGRE02
 12/29/2009

 General Notes
 12/15/09. Action is complete.
 RGRE02
 12/29/2009

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REV.2

Report Date: 07/20/2010

Attributes: **Attribute Value** Read By Last Updated By Last Updated Date MODE CHGE RESTRAINT? **OUTAGE NUMBER** Attributes Notes: <u>Attribute</u> Last Updated By Last Updated Date <u>Notes</u> Cross References: <u>Ref</u> Ref Ref Nbr Type Reference Nbr Status Date <u>Sub</u> <u>Type</u> <u>Status</u> **Description** AS 01193589 FG-PA-PAR-01 Rev 9, PARB Guideline AR COMP-NA 09/29/2009 AS 01199970 AR COMPLETE 12/16/2009 FG-PA-PAR-01, rev 9

Material References:

<u>UTC No.</u> <u>Manufacturer</u> <u>Model No.</u> <u>Serial No.</u>

Equipment/Component References:

<u>Facility Unit Op Sys Division Area System Class Equip Type Equipment Comp Type Component.</u>

Reference Docs:

Facility Doc Type Sub Type Document Number Sheet Rev Minor Doc Date Title

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Report Date: 07/20/2010

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REV.2

Report Date: 07/20/2010

Assign Type: XPLA Due Date: Not Locked 3/12/2010 Status/Date: 85 COMPLETE 03/04/2010

Pri Resp Grp: Aff Fac: PI Priority: 5 Unit: System:

Sec Resp Grp: Rsch Chgs: 0

Assign To: SLCHRI01 Department: 83 Bus & Strategic Plan

Last Name: Schnell, Shari L

Subject: Thorough Evaluation of Problems Resolution Xcellence Plan

Description:

<u>Description Notes</u>
<u>Last Updated By Last Updated Date</u>

Thorough Evaluation of Problems Resolution Xcellence Plan SLCHRI01 03/01/2010

Tracking Item for Recovery Plan. SLCHRI01 03/01/2010

In Progress Notes:

Notes <u>Last Updated By</u> <u>Last Updated Date</u>

Completion Notes:

Note Type Notes Last Updated By Last Updated Date

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Read By</u>	Last Updated By	Last Updated Date
PRIORITY-RISKBENEFIT	2		SLCHRI01	03/04/2010
1-START DATE	20090315		SLCHRI01	03/04/2010
2-END DATE	20100412		SLCHRI01	03/04/2010
DEPARTMENT	38		SLCHRI01	03/04/2010

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REV.2

Report Date: 07/20/2010

Attributes:								
<u>Attribute</u>		<u>Value</u>	Read E	<u>By</u> <u>La</u>	st Updated By	Last Updated Date		
PRIORITY-SUB-RISK		G		SL	CHRI01	03/04/2010		
PRIORITY-URGENCY		1		SL		03/04/2010		
RESOURCE FTE		000000000	100	SL		03/04/2010		
SOURCE		SITE INIT\	/	SL	CHRI01	03/04/2010		
SPONSOR	SPONSOR			SL	CHRI01	03/04/2010		
Attributes Notes:								
<u>Attribute</u>	<u>Note</u>	<u></u>				<u>Last Updated By</u>	Last Updated Date	
Cross References:								
Ref Type Reference Nbr	Ref Ref Nbr Sub Type	<u>Status</u>	Status Date	<u>Description</u>	<u>n</u>			
Material References:								
UTC No.	<u>Manufacturer</u>	<u>Model N</u>	lo.	2	Serial No.			
Equipment/Compone	nt References:							
Facility Unit Op Sys	<u> Division</u>	<u>Area</u> <u>S</u>	<u>ystem Class</u>	Equip Type	<u>Equipment</u>	<u>Comp T</u> y	<u>/pe Component.</u>	
Reference Docs:								
Facility Doc Type Su	b Type Docume	nt Number She	eet Rev	Minor Doc	Date Titi	le		

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